COUNTRY PROFILE 1993 ADOPTION CONVENTION 2020 VERSION



RECEIVING STATE

COUNTRY NAME: Hong Kong Special Administrative Region (HKSAR) of The People's Republic of China

PROFILE UPDATED ON: March 2025

PART I: CENTRAL AUTHORITY

1. Contact details ¹		
Name of office:	Child Welfare Section, Family and Child Welfare Branch, Social Welfare Department	
Acronyms used:	SWD	
Address:	Room 2404, 24th Floor, Southorn Centre, 130 Hennessy Road, Wan Chai, Hong Kong SAR	
Telephone:	852 - 2835 2677	
Fax:	852 - 3621 0214	
E-mail:	pik_kwan_yuen@swd.gov.hk	
Website:	http://www.swd.gov.hk	
Contact person(s) and direct contact details (please indicate language(s) of communication):	Chief Social Work Officer (Child Welfare) 2	
	(English or Chinese)	
If your State has designated more than one Central Authority, please provide contact details for the further Central Authorities below and specify the territorial extent of their functions.		
Not Applicable		

PART II: RELEVANT LEGISLATION

2.	The 1993 Adoption Convention and domestic legislation	
a)	When did the 1993 Adoption Convention enter into force in your State?	1 January 2006

Please verify whether the contact details on the "Adoption Section" of the HCCH website < www.hcch.net > under "Central Authorities" are up to date. If not, please e-mail the updated contact information to < secretariat@hcch.net>.

 $\textbf{H} \textbf{ague C} \textbf{Onference on Private International Law - C} \textbf{Onférence de La } \textbf{H} \textbf{aye de droit international privé} \\ \underline{\textbf{secretariat@hcch.net}} \mid \underline{\textbf{www.hcch.net}}$

	This information is available on the <u>Status Table</u> for the 1993 Adoption Convention (accessible via the <u>Adoption Section</u> of the HCCH website < <u>www.hcch.net</u> >).	
b)	Please identify the legislation / regulations / procedural rules which implement or assist with the effective operation of the 1993 Adoption Convention in your State. Please also provide the date of their entry into force.	Adoption Ordinance (Cap. 290), Laws of Hong Kong SAR https://www.elegislation.gov.hk/hk/cap290 25 January 2006
	Please remember to indicate how the legislation / regulations / rules may be accessed: e.g., provide a link to a website or attach a copy. Where applicable, please also provide a translation into English or French if possible.	

3. Other international agreements on intercountry adoption ²	
Is your State party to any other international (cross-border) agreements concerning intercountry adoption?	Yes: Regional agreements (please specify):
See Art. 39.	Bilateral agreements (please specify):
	Non-binding memoranda of understanding (please specify):
	Other (please specify):
	No

PART III: THE ROLE OF AUTHORITIES AND BODIES

4. Central Authority(ies) Please briefly describe the functions of the According to Section 20E of Adoption Central Authority(ies) designated under the Ordinance, 1993 Adoption Convention in your State. (1) The functions under the Convention of the Central Authority (CA) shall be discharged by See Arts 6-9 and Arts 14-21 if accredited bodies are the Director of Social Welfare (DSW). not used. (2) Any application made under Article 14 of the Convention by a person habitually resident in Hong Kong SAR for the adoption of an infant habitually resident in a Contracting State shall be addressed to the DSW, the CA in Hong Kong SAR.

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See Art. 39(2) which states: "Any Contracting State may enter into agreements with one or more other Contracting States, with a view to improving the application of the Convention in their mutual relations. These agreements may derogate only from the provisions of Articles 14 to 16 and 18 to 21. The States which have concluded such an agreement shall transmit a copy to the depositary of the Convention" (emphasis added).

(3) In complying with the obligation to provide any report or other information under Article 15 or 16 of the Convention, the DSW, being the CA may request any person as he may specify to make a report to him with respect to any matter which appears to him to be relevant; and the person shall comply with such a request.
(4) The DSW may, to the extent permitted by the provisions of the Convention, delegate his functions as the CA to accredited bodies (ABs).

5. Public and competent authorities

Please briefly describe the role of any public and / or competent authorities, including courts, in the intercountry adoption procedure in your State.

See Arts 4, 5, 8, 9, 12, 22, 23 and 30.

In accordance with Part 5 The Convention under Adoption Ordinance (Cap. 290), the CA, Accredited Bodies (ABs) and the Court of First Instance or the District Court, will discharge its duties/functions and monitor the procedures of intercountry adoption in relation to Article 4,5,8,9,12,22 and 23. The CA and ABs will preserve the information concerning the child's origin, the identity of the birth parents, medical history, etc. and the adopted child/adoptive parents can have access to such information under appropriate guidance.

6.	National accredited bodies ³	
a)	Has your State accredited its own adoption bodies?	✓ Yes✓ No – go to Question 8
	See Arts 10-11.	
	N.B. the name(s) and address(es) of any national accredited bodies should be communicated by your State to the Permanent Bureau of the HCCH (see Art. 13). ⁴	
b)	Please indicate the number of national accredited bodies in your State, including whether this number is limited and, if so, on what basis. ⁵	There are three ABs in the HKSAR. No limit on the number of ABs in the HKSAR is set.

[&]quot;National accredited bodies" in this Country Profile means adoption bodies based within your State (receiving State) which have been accredited under the 1993 Adoption Convention by the competent authorities in your State. See further *Guide to Good Practice No 2 on Accreditation and Adoption Accredited Bodies* ("GGP No 2"), available on the <u>Adoption Section</u> of the HCCH website < www.hcch.net > at Chapters 3.1 et seq.

⁴ *Ibid.,* Chapter 3.2.1 (para. 111).

⁵ *Ibid.*, Chapter 3.4.

c) Please briefly describe the role of ABs are involved in certain functions and national accredited bodies in your State. procedural duties in relation to intercountry adoption, including assessment of the suitability of applicants as prospective adoptive parents (PAPs), making arrangements for adoption placements and monitoring such placements. 6.1 The accreditation procedure (Arts 10-11) a) Which authority / body is responsible The CA of the HKSAR is responsible for for the accreditation of national accreditation. adoption bodies in your State? b) Please briefly describe the *procedure* for Application for an accreditation certificate or granting accreditation and the most application for its renewal must be made to important accreditation criteria. the CA in a specified application form and accompanied by the required information, including information about the agency's organisation, background, services provided, experience in intercountry adoption, overseas network and connections, financial status, track record, etc. Upon receiving the application and relevant documents/records, accreditation assessment will be conducted by the CA based on the accreditation criteria. The accreditation criteria include the agency's pursuit for non-profit-making objective with sound financial condition, good track record, specialised experience in child welfare services, clearly-delineated organisation structure, designated section directed by persons with appropriate qualifications and staffed by registered social workers to undertake home assessment and placement tasks, etc. c) For how long is accreditation granted in The maximum validity period of your State? accreditation is 4 years. d) Please briefly describe the criteria and Application for renewal of accreditation shall the procedure used to determine be made to the CA within 4 to 6 months prior whether the accreditation of a national to the expiration of the accreditation or adoption body will be renewed. within such period of time the CA may in writing permit. Procedures and criteria for accreditation renewal is the same as that for new application. Normally, the renewed

accreditation certificate, if granted, shall have effect on the day following the expiry

the original accreditation.

6.2 Monitoring of national accredited bodies⁶

⁶ *Ibid.*, Chapter 7.4.

a)	Which authority is competent to monitor / supervise national accredited bodies in your State? See Art. 11(c).	The CA of the HKSAR is responsible for monitoring ABs.
b)		ABs in the HKSAR are required to comply with the code of conduct, the relevant provisions of the Adoption Ordinance, and the administrative requirement in handling intercountry adoption cases. ABs shall report to the CA on any change of social worker and/ or management staff designated for adoption services and provide monthly statistical returns submitted quarterly to the CA. ABs shall undertake annual self-assessment and submit the self-assessment reports and annual audited financial reports to the CA. ABs are required to seek the CA's prior approval on advertisement related to adoption services in the HKSAR, fees charged for adoption services and relocation of adoption offices. Annual or surprise inspections at ABs are undertaken by the CA.
c)	Please briefly describe the circumstances in which the accreditation of bodies can be revoked (i.e., withdrawn).	In case of serious or repeated default or non-compliance or the failure of AB to improve on the identified deficiencies, the CA may suspend/ revoke the accreditation of the AB. Prior to suspension or revocation, the CA will bring the matter to the attention of the AB and provide the AB with the opportunity to make representation before a final decision is made.
d)	If national accredited bodies do not comply with the 1993 Adoption Convention, is it possible for sanctions to be applied?	 Yes, please specify possible sanctions (e.g., fine, withdrawal of accreditation): The accreditation may be revoked or suspended by the CA. No
7.	Authorisation of national accredited bod	ies to work in other Contracting States (Art. 12) ⁷
7.1	The authorisation procedure	
a)	Which authority / body in your State is responsible for the authorisation of national accredited bodies to work with, or in, other Contracting States?	Not Applicable

In relation to authorisation of accredited bodies, *ibid.*, Chapter 4.2.

b)	Is authorisation granted as part of the accreditation procedure or is a separate authorisation procedure undertaken?	Authorisation is granted as part of the accreditation procedure.A separate procedure is undertaken for authorisation.
с)	Is authorisation granted to national accredited bodies to work in <i>all</i> States of origin or must national accredited bodies apply for authorisation to work in specific, pre-identified State(s) of origin?	Authorisation is granted generally: once authorised, national accredited bodies are able to work in <i>all</i> States of origin. Authorisation is granted specifically: national accredited bodies must apply for authorisation to work in one or more preidentified State(s) of origin.
d)	Please briefly describe the <i>procedure</i> for granting authorisation and the most important authorisation <i>criteria</i> . If your State does not have authorisation criteria, please explain on what basis decisions concerning authorisation are made. Please also explain whether your State has any criteria concerning how the national accredited body must establish itself in the State(s) of origin or whether this is left entirely to the requirements of the State of origin (<i>e.g.</i> , requirements that the body must have a local representative in the State of origin, or must establish a local office).	Not Applicable
e)	For how long is authorisation granted?	Not Applicable
f)	Please briefly describe the criteria and procedure used to determine whether authorisation will be <i>renewed</i> .	Not Applicable
7.2	Monitoring the work of your authorised Contracting States	d national accredited bodies in other
a)	Please briefly describe how your State ensures that authorised national accredited bodies (including their representatives, co-workers and any other staff ⁹ in the State of origin) are monitored / supervised by your State in	Not Applicable

⁸ In relation to authorisation criteria, *ibid.*, Chapters 2.3.4.2 and 4.2.4.

For an explanation of the terminology used concerning the staff of the national accredited body working in the State of origin, *ibid.*, Chapters 6.3 and 6.4.

	relation to their work / activities in the State of origin.	
b)	Please briefly describe the circumstances in which the authorisation of national accredited bodies can be revoked (i.e., withdrawn).	Not Applicable

8. Approved (non-accredited) persons (Art. 22(2)) ¹⁰		
Is the involvement of approved (non- accredited) persons permitted in intercountry adoption procedures in your State?	Yes, our State has made a declaration under Article 22(2) and the involvement of approved (non-accredited) persons is possible. Please specify their role:	
N.B. see Art. 22(2) and check whether your State has made a declaration according to this provision. You can verify this on the <u>Status Table</u> for the 1993 Adoption Convention, available on the <u>Adoption Section</u> of the HCCH website.	⊠ No	
If your State has made a declaration according to Art. 22(2), the Permanent Bureau of the HCCH should be informed of the names and addresses of these bodies and persons (Art. 22(3)). ¹¹		

PART IV: THE CHILDREN PROPOSED FOR INTERCOUNTRY ADOPTION

9. The adoptability of a child (Art. 4(a))	
Does your State have its own criteria concerning the adoptability of a child (e.g., maximum age) which must be applied in addition to the requirements of the State of origin?	The child's adoptabiliy will be assessed in accordance with the requirements of Adoption Ordinance (Cap. 290). The child should be under 18 years old and not married. All the consents required must be obtained. Due consideration for adoption should be given to the wishes and opinions of the child, having regard to the age and understanding of the child and that the adoption is assessed to be in the best interests of the child. No, there are no additional criteria concerning adoptability – the requirements of the State of origin are determinative.

10. The best interests of the child and subsidiarity (Art. 4(b)) Does your State request information / evidence from the State of origin to satisfy itself that the State of origin has respected the principle of subsidiarity (i.e., proof that Yes − please specify: The reasons leading to the relinquishment of parental rights, efforts in achieving family reunion and the assessments on

⁰ *Ibid.*, Chapter 13.

¹¹ *Ibid.*, Chapter 13.2.2.5.

family reunification was attempted, or that the possibility of in-country permanent family placements has been explored)?	domestic/intercountry adoption which is in the best interests of the child should be included in the child study report provided by the CA or AB of the State of origin. No
11. Children with special needs	
Does your State have its own definition of the term "special needs children" which is applied in intercountry adoption cases?	Yes – please provide the definition used in your State: In the HKSAR, children with special needs include those with complicated family background, older in age (aged 3 or above), with health issues or disabilities. No – the definition used in the State(s) of origin is determinative.
12. The nationality of children who are adop	oted intercountry ¹²
Do children who are adopted intercountry to your State acquire the nationality of your State?	 Yes, always. Please specify: (i) At what stage nationality is acquired by the child: ; and (ii) The procedure which must be undertaken (or whether acquisition of nationality is automatic upon the occurrence of a particular event, e.g., the making of the final adoption decision): ☑ It depends – please specify which factors are taken into consideration (e.g., the nationality of the prospective adoptive parents ("PAPs"), whether the child loses his / her nationality of the State of origin): It depends on the nationality of the PAPs and the requirements of Immigration Ordinance. ☑ No, the child will never acquire this nationality.
ART V: PROSPECTIVE ADOPTIVE PARENTS ("PAP	s")
13. Limits on the acceptance of files	
a) Does your State place any limit on the total number of applications for intercountry adoption which are accepted at any one time?	☐ Yes, please specify the limit applied and the basis on which it is determined:☐ No

Regarding nationality, see further the *Guide to Good Practice No 1 on the implementation and operation of the 1993 Hague Intercountry Adoption Convention* ("GGP No 1"), available on the <u>Adoption Section</u> of the HCCH website < <u>www.hcch.net</u> >, at Chapter 8.4.5.

b)	o) Does your State allow PAPs to apply to adopt from more than one State of	Yes, please specify whether any limits are applied:
	origin at the same time?	No – PAPs may only apply to adopt from one State of origin at any one time.

14. Determination of the eligibility and suitability of PAPs wishing to undertake an intercountry adoption ¹³ (Art. 5(a))		
14.1 Eligibility criteri	a	
a) Do PAPs wishing to undertake an intercountry adoption have to fulfil any criteria in your State concerning their relationship status(es)? Please tick any / all boxes which apply and indicate in the space provided whether any further conditions are imposed (e.g., duration of marriage / partnership / relationship, cohabitation).	 Yes, the following person(s) may apply in our State for an intercountry adoption: Married, heterosexual couples: Preferably married for 3 years Married, same-sex couples: same-sex couple will be treated as single applicant Heterosexual couples in a legally registered partnership: Same-sex couples in a legally registered partnership: same-sex couple will be treated as single applicant Heterosexual couples that have not legally formalised their relationship: will be treated as single applicant Same-sex couples that have not legally formalised their relationship: same-sex couple will be treated as single applicant Single men: Cannot adopt female child 	
	✓ Single women:✓ Other (please specify):✓ No, there are no relationship status criteria for PAPs.	
b) Are there any age requirements in your State for PAPs wishing to undertake an intercountry adoption?	Yes, please specify: Minimum age requirements: One of the applicants has attained the age of 25; and if married, the other applicant has attained the age of 21 Maximum age requirements: Difference in years required between the PAPs and the child:	
	Other (please specify): No	
c) Are there any other eligibility criteria which your State requires PAPs to fulfil?	Yes, please specify: Additional / differing criteria must be met for PAPs wishing to adopt a child with special needs (please specify): Couples must supply evidence of infertility: For persons with children already (biological or adopted), there are additional criteria (please specify):	

I.e., this section refers to the eligibility criteria applied, and suitability assessment undertaken, in relation to PAPs who are habitually resident in your State and who wish to adopt a child who is habitually resident in another Contracting State to the 1993 Adoption Convention: see further Art. 2 of the Convention.

	Other (please specify):	
	Please refer to the link of pamphlet information sheet on	
	adoption service -	
	https://www.swd.gov.hk/storage/asset/section/267/en/Consideri	
	ng_Adoption_en_2025.pdf	
	☐ No	
14.2 Suitability assess	ment ¹⁴	
a) Which body(ies) / expert(s) perform the assessment of whether the PAPs are suitable persons to undertake an intercountry adoption?	ABs in the HKSAR	
b) Please briefly describe the procedure which is used to assess the PAPs and determine their suitability to undertake an intercountry adoption.	ABs in the HKSAR assesses the suitability of the homes and recommend home approval for the CA's endorsement.	
14.3 Final approval		
Which body / person gives the final approval that the PAPs are eligible and suited	Supervising officers of the Adoption Unit (AU) of SWD	
to undertake an		
intercountry		
adoption?		
15. Preparation and counselling of PAPs (Art. 5(b))		
a) In your State, are	Yes, please specify the following:	
courses provided to prepare PAPs for intercountry	 Whether the courses are mandatory: Applicants must complete courses before they proceed with the home suitability assessment. 	
adoption?	 At what stage of the adoption procedure they are offered: All stages including at the time of submission of application, matching process as well as placement and post placement service. 	
	- Who provides the courses: ABs in the HKSAR	

This suitability assessment will usually form one part of the report on the PAPs (Art. 15): as to which, see GGP No 1 (*op. cit.* note 12), Chapter 7.4.3 and Question 17 below.

	 Whether they are provided to PAPs individually or collectively (i.e., in a group): Both
	 Whether they are provided "in person" or electronically: Both
	 How many hours the courses last: No standard requirement
	 The content of the courses: The intercountry adoption procedure, attachment issue, grief and loss, institutionalisation effect and root-tracing procedure
	 Whether there are specific courses for PAPs wishing to adopt a child with special needs: may have related courses
	 Whether the courses are (or can be) targeted at preparing PAPs for the adoption of a child from specific States of origin: No
	□ No
b) Aside from any courses provided, what, if any, (other) counselling or preparation is provided to individual PAPs (e.g., meeting with adoptive parents, language and culture courses)? Please specify, in each case: (i) If it is mandatory for PAPs to use the service; (ii) Who provides the service; and (iii) At what stage in the adoption procedure the service is provided.	ABs in the HKSAR will provide on-going counselling and support to PAPs throughout the adoption process. (i) It is mandatory. (ii) ABs in the HKSAR provides the service. (iii) The service is provided throughout the adoption process.

PART VI: THE INTERCOUNTRY ADOPTION PROCEDURE

16.	16. Applications	
c)	To which authority / body should PAPs apply for an intercountry adoption?	ABs in the HKSAR
d)	Please indicate which documents your State requires to be included within the	An application form for adoption completed by the PAPs

PAPs' file for transmission to the State of origin: ¹⁵	A statement of "approval to adopt" issued by a competent authority
Please tick all which apply.	A report on the PAPs including the "home study" and other personal assessments (see Art. 15)
	Copies of the PAPs' passports or other personal identification documents
	Copies of the PAPs' birth certificates
	Copies of the birth certificates of any children living with the PAPs
	Copies of marriage, divorce or death certificates, as applicable (please specify in which circumstances):
	Marriage Certificate (if married couple), Divorce Certificate (if either or both of the PAPs is divorced), Death Certificate (if one of the PAPs is widowed)
	Information concerning the health of the PAPs (please specify in which circumstances and what type of information is required): Medical Reports
	Evidence of the financial circumstances of the family (please specify in which circumstances and what type of information is required):
	Financial circumstances should include PAPs' income, assets, expenditure and liabilities. Supporting documents are required.
	Information concerning the employment status of the PAPs (please specify in which circumstances and what type of information is required):
	Employment letter
	Proof of no criminal record
	Other(s): please explain
	Adoption order/ certificate of adopted child of PAPs, medical report of any children living with the PAPs, proof of highest education qualification of the PAPs, reference letters and family photos, "Statement of Acceptance for adoption" and "Assumption of Responsibility Pending Completion of Adoption" issued by ABs in Hong Kong SAR M and any document subject to State of origin's requirement.

Please remember that a specific State of origin may have other / additional requirements concerning the documentation that must be submitted to it. A list of documents required by the specific State of origin can be found in the State of origin's Country Profile.

e) Is it compulsory in your State for an accredited body to be involved in an intercountry adoption procedure? ¹⁶	Yes, please specify at which stage(s) of the procedure an accredited body must be involved (e.g., for the preparation of the home study, for the submission of the adoption file to the State of origin, for all stages of the procedure): ABs in the HKSAR should be involved in all stages of the procedure regarding intercountry adoption. Prior approval of the DSW for ABs in the HKSAR to establish/extend their partnership with overseas CAs or ABs for intercountry adoption is required. No. Please specify who assists PAPs if no accredited body is involved in the adoption procedure:
f) Are any additional documents required if PAPs apply through an accredited body? Please tick all which apply.	 Yes A power of attorney issued by the PAPs to the accredited body (i.e., a written document provided by the PAPs to the accredited body in which the PAPs formally appoint the accredited body to act on their behalf in relation to the intercountry adoption): A contract signed by the accredited body and the PAPs: A document issued by a competent authority of the receiving State certifying that the accredited body may undertake intercountry adoptions: Other (please specify): No
17. The report on the PAPs (Arts 5(a) and 15((1))
a) Which body(ies) / expert(s) prepare the report on the PAPs? Please include all those involved with the preparation of any of the documents which are included within such a report.	The AB in the HKSAR
b) Is a "standard form" used for the report on the PAPs in your State?	 Yes, please provide a link to the form or attach a copy: No. Please indicate whether your State has any requirements concerning the information which must be included in the

See GGP No 1 (*op. cit.* note 12), paras 4.2.6 and 8.6.6: "independent" and "private" adoptions are <u>not</u> consistent with the system of safeguards established under the 1993 Adoption Convention.

	report on the PAPs and / or the documentation which must be attached to it:
	The following information should be included-
	(I) Identifying Information of PAPs and their children: (i) Name; (ii) Sex; (iii) Date of Birth/ Age; (iv) Place of Birth; (v) Race/Nationality; (vi) Employment/ schooling
	(II) PAP's family background and up-bringing
	(III) Marital relationship (if applicable)
	(IV) Motivation for adoption
	(V) Parenting and child care ability
	(VI) Child care arrangement for child-to-be adopted
	(VII) Financial, living and health conditions
	(VIII) Criminal record checks
	(IX) Social worker's observation and assessment
c) For how long is the report on the PAPs valid in your State?	Validity period of the report on the PAPs is not specified but regular reviews and updates is expected after the report is approved and before the home is matched with a child from other State of origin.
d) Who is responsible in your State for renewing the report on the PAPs if the period of validity expires before the intercountry adoption is completed and what is the procedure for renewal?	Regular reviews and updates of the report on the PAPs are conducted by the AB in the HKSAR.

18. Transmission of the PAPs' file to the State of origin	
a) Who sends the finalised application fi of the PAPs to the State of origin?	le ABs in the HKSAR
b) If no accredited body is involved with the intercountry adoption application (see Question 16(c) above), who assis the PAPs with compiling and transmitting their application file?	Not applicable – an accredited body will

19. Receipt of the report on the child (Art. 16(2)) and acceptance of the match (Art. 17(a) and (b))		
19.1Receipt of the report on the child (Art. 1	19.1Receipt of the report on the child (Art. 16(2))	
Which authority / body in your State receives the report on the child from the State of origin?	The AB in the HKSAR	
19.2Acceptance of the match		

a)	Does your State require that the matching be accepted by a competent authority in your State?	 Yes, please provide the following details: Which authority determines whether to accept the match (e.g., the Central Authority or another competent authority): The CA of the HKSAR and The procedure which is followed (e.g., the report on the child is transmitted first to the competent authority to determine whether the match is accepted and only if this authority accepts the match is the report sent to the PAPs): ABs in the HKSAR will assess the suitability of the matching and seek CA's endorsement. Go to Question 19.2 b) No. Please explain the procedure which is followed once the authority / body referred to in Question 19.1 has received the report on the child from the State of origin:
		Go to Question 19.2 c)
b)	Which criteria must be fulfilled for the relevant authority in your State to accept the match?	The adoption arrangement is in the best interest of the child while his/ her wish and opinion have been duly considered having regard to his/ her age and maturity of the child.
c)	Does your State impose any requirements on PAPs concerning the length of time they are given to decide whether to accept a match?	 Yes, in addition to any requirements of the State of origin, our State has a time-limit − please specify: No, the requirements of the State of origin are determinative in this regard.
d)	Do PAPs receive any kind of assistance from your State when deciding whether to accept a match?	 Yes – please specify what type of assistance is provided (e.g., counselling): ABs in the HKSAR will provide counselling and support to the PAPs during the adoption procedure. No
20	Agreement under Article 17(a)	
	Agreement under Article 17(c)	The state of the s
a)	Which competent authority / body agrees that the adoption may proceed in accordance with Article 17(c)?	The CA of the HKSAR
b)	At what point in the adoption procedure is the Article 17(c) agreement given in your State?	 Our State waits for the State of origin to provide its agreement first OR Our State sends its agreement to the State of origin with a notice that the match has been accepted OR Other (please specify):

21.	Travel of the PAPs to the State of origin ¹⁷		
a)	Does your State impose any travel requirements / restrictions on PAPs in addition to those imposed by the State of origin?	☐ Yes, please specify the additional requirements / restrictions:☐ No	
b)	Does your State permit an escort to be used to bring the child to the adoptive parents in your State in any circumstances?	Yes, please specify in which circumstances: Whenever the PAPs are not available for escort. No	
22.	Authorisation for the child to enter and	reside permanently (Arts 5(c) and 18)	
a)	Please specify the procedure to obtain authorisation for the child to enter and reside permanently in your State.	Immigration Department of the HKSAR will handle this matter upon recommendation by the CA of the State of origin while the CA of receiving State will issue proof related to Article 17.	
b)	Which documents are necessary for a child to be able to enter and reside permanently in your State (e.g., passport, visa)?	The child's valid passport, the Dependant Visa issued by the Immigration Department in the HKSAR and the Adoption Order, if applicable.	
c)	Which of the documents listed in response to Question 22(b) above must be issued by your State? Please indicate which public / competent authority is responsible for issuing each document.	Dependant Visa issued by the Immigration Department of the HKSAR	
d)	Once the child has arrived in your State, what is the procedure, if any, to notify the Central Authority or accredited body of his / her arrival?	ABs in the HKSAR will liaise with the overseas AB on the child's arrival throughout the process.	
23.	Final adoption decision and the Article 23 certificate		
a)	 If the final adoption decision is made in your State, which competent authority: (i) Makes the final adoption decision; and (ii) Issues the certificate under Article 23? 	(i) The Court of First Instance or the District Court(ii) The Court of First Instance or the District Court	
	N.B. According to Art. 23(2), the authority responsible for issuing the Art. 23 certificate		

should be formally designated at the time of ratification of / accession to the 1993

Convention. The designation (or any modification

 $^{^{\}rm 17}$ See GGP No 1 (op. cit. note 12), Chapter 7.4.10.

	to a designation) should be notified to the depositary of the Convention. The answer to (ii) above should therefore be available on the Status Table for the 1993 Adoption Convention (under "Authorities"), available on the Adoption Section of the HCCH website.	
b)	Does your State use the "Recommended model form – Certificate of conformity of intercountry adoption"? See GGP No 1 – Annex 7.	
c)	Please briefly describe the procedure for issuing the Article 23 certificate. E.g., how long does it take to issue the certificate? Is a copy of the certificate always given to the PAPs? Is a copy sent to the Central Authority in the State of origin?	A copy of the certificate will be given to PAPs. It takes about two to four weeks after order granted.
d)	In cases in which the Article 23 certificate is issued in the State of origin, which authority or body in your State should receive a copy of this certificate?	ABs in the HKSAR

PART VII: INTRA-FAMILY INTERCOUNTRY ADOPTIONS

24. Procedure for the intercountry adoption of a child who is a relative of the PAPs ("intrafamily intercountry adoption")

a) Please explain the circumstances in Intra-family intercountry adoption refers to which an intercountry adoption will be adoption of a child living overseas by his/her classified as an "intra-family intercountry relatives living in the HKSAR. adoption" in your State. According to Section 2 of the Adoption Please include an explanation of the Ordinance, "relative" in relation to an infant degree of relationship which a child means a grandparent, brother, sister, uncle or aunt, whether of the full blood, of the must have with PAPs to be considered a "relative" of those PAPs. halfblood or by affinity, and includes-(a) where an adoption order has been made in respect of the infant or any other person under this Ordinance, any person who would be a relative of the infant within the meaning of this definition if the adopted person were the child of the adopter born in lawful wedlock; (b) where the infant is born out of wedlock, the father of the infant and any person who would be a relative of the infant within the meaning of this definition if the infant were the

legitimate child of his mother and father.

b)	Does your State apply the procedures of the 1993 Adoption Convention to intrafamily intercountry adoptions? N.B. If the child and PAPs are habitually resident in different Contracting States to the 1993 Adoption Convention, the Convention is applicable, irrespective of the fact that the child and PAPs are related: see further GGP No 1 at para. 8.6.4.	Yes – go to Question 25 Yes, in general, although there are some differences in the procedures for intrafamily intercountry adoptions – please specify: Go to Question 25 No – go to Question 24 c)
c)	If your State does not apply the Convention procedures to intra-family intercountry adoptions, please explain the laws / rules / procedures which are used in relation to:	(i) (ii) (iii) (iv)
	(i) The counselling and preparations which PAPs must undergo in your State;	
	(ii) The preparation of the child for the adoption;	
	(iii) The report on the PAPs; and	
	(iv) The report on the child.	

PART VIII: SIMPLE AND FULL ADOPTION¹⁸

25.	Simple and full adoption	
a)	Is "full" adoption permitted in your State?	
	See GGP No 1 at Chapter 8.8.8 and note 18 below.	In certain circumstances only – please specify:
		Other (please explain):
b)	Is "simple" adoption permitted in your State?	☐ Yes ☐ No
	See GGP No 1 at Chapter 8.8.8 and note 18 below.	In certain circumstances only (e.g., for intra-family adoptions only) – please specify:
		Other (please explain):
c)	Does the law in your State permit "simple" adoptions to be converted into "full" adoptions in accordance with Article 27 of the 1993 Adoption Convention?	Yes – please provide details of how this is undertaken and please specify whether this is done on a regular basis when a State of origin grants a "simple" adoption or only in specific cases:
	See Art. 27(1)(a).	No – go to Question 26

According to the 1993 Adoption Convention, a **simple** adoption is one in which the legal parent-child relationship which existed before the adoption is <u>not</u> terminated but a new legal parent-child relationship between the child and his / her adoptive parents is established. A **full** adoption is one in which the pre-existing legal parent-child relationship <u>is</u> terminated. See further Arts 26 and 27 and GGP No 1 (*op. cit.* note 12), Chapter 8.8.8.

d)	If conversion of a "simple" adoption into a "full" adoption is sought in your State following an intercountry adoption, how does your State ensure that the consents referred to in Article 4(c) and (d) of the 1993 Adoption Convention have been given in the State of origin to a "full" adoption (as required by Art. 27(1)(b))? See Art. 27(1)(b) and Art. 4(c) and (d).	
e)	Following a conversion in your State, please explain which authority is responsible for issuing the Article 23 certificate in relation to the conversion decision. Please also explain the procedure which is followed.	☐ The competent authority and the procedure is the same as stated in response to Question 23 above.☐ Other (please specify):

PART IX: POST-ADOPTION MATTERS

26.	Preservation of, and access to, informatio the adoption of the child	n concerning the child's origins (Art. 30) and
a)	Which authority in your State is responsible for preserving information concerning the child's origins, as required by Article 30?	All the ABs in respect of intercountry adoption in the HKSAR and AU of SWD are responsible for preserving information concerning the child's origins.
b)	For how long is the information concerning the child's origins preserved?	The records retained in AU of SWD and ABs in the HKSAR are kept without time limit.
c)	Does your State permit the following persons to have access to information concerning the child's origins and / or information concerning the adoption of the child: (i) the adoptee and / or his / her representative(s); (ii) the adoptive parent(s); (iii) the birth family; and / or (iv) any other person(s)? If so, are there any criteria which must be met for access to be granted (e.g., age of the adopted child, consent of the birth family to the release of information concerning the child's origins, consent of the adoptive parents to the release of information concerning the adoption)? See Art. 9(a) and (c) and Art. 30.	 (i)
		⊠ No

d)	Where access to such information is provided, is any counselling or other guidance / support given in your State?	 Yes – please specify: The adopted person will be assisted by the AB in the HKSAR to collect his/ her background information from his/ her country of origin. No
e)	Once access to such information has been provided, is any <i>further</i> assistance offered to the adoptee and / or others (<i>e.g.</i> , regarding making contact with his / her biological family, tracing extended family)?	✓ Yes – please specify: The AB will offer appropriate assistance as requested.☐ No
27.	Post-adoption reports	
a)	Absent specific requirements of the State of origin in this regard, who is responsible in your State for writing post-adoption reports and sending such reports to the State of origin?	ABs in the HKSAR
b)	Absent any specific requirements of the State of origin in this regard, is there a model form which is used by your State for post-adoption reports?	Yes – please specify whether use of the form is mandatory and indicate where it may be accessed (e.g., provide a link or attach a copy): No – in which case, please specify the content expected by your State in a postadoption report (e.g., medical information, information about the child's development, schooling): The content expected includes information related to adoptive child, parent information, agency information, adoption history, adoption adjustment, health condition, development and schooling, the relationship with parents and other family members, child's habit and daily routines, the child's views on the adjustment, the parents' views on the child's adjustment, the parents' parenting and child care capability, naturalization process, conclusion and recommendation is expected.
c)	How does your State ensure that the requirements of the State of origin in relation to post-adoption reporting are fulfilled?	ABs in the HKSAR are required to ensure the requirements of the State of origin in relation to post-adoption reporting are fulfilled.

28. Post-adoption services and support (Art. 9(c))

Apart from the matters raised in Question 26 above, what, if any, post-adoption services and support is / are provided by your State to the child and / or PAPs following completion of an intercountry adoption (e.g., counselling, support to preserve cultural links)?

In particular, please state whether any specific post-adoption services or support are provided in your State in the case of special needs children.

Under the existing practice, the adoption case will be closed upon granting the adoption order. Nevertheless, the adoptive parents may join self-help groups for the adoptive families in the HKSAR for mutual support and experience sharing. Besides, post-adoption counselling will be arranged to the adoptive parents and adopted children as appropriate if required. Where necessary, the case may also be referred to other casework units for follow-up services.

PART X: THE FINANCIAL ASPECTS OF INTERCOUNTRY ADOPTION¹⁹

Receiving States are also kindly requested to complete the "Tables on the costs associated with intercountry adoption", available on the <u>Adoption Section</u> of the HCCH website.

29. The costs ²⁰ of intercountry adoption	
a) Are the costs of intercountry adoption regulated by law in your State?	Yes – please specify any relevant legislation / regulations / rules and indicate how they may be accessed (e.g., link to a website or attach a copy). Please also briefly explain the legal framework:
	Any payment, remuneration or reward regarding the adoption of an infant is governed by section 22 of the Adoption Ordinance (Cap.290) which stipulates that the payment to an AB for its cost and expenses reasonably incurred in connection with the adoption or proposed adoption of an infant, in an amount that is calculated in accordance with a schedule of fees should be approved by the DSW.
b) Does your State monitor the payment of the costs of intercountry adoption?	Yes – please briefly describe how this monitoring is undertaken: ABs in the HKSAR shall pursue only non-profit-making objective. It may charge PAPs fees to cover the administrative costs for providing the adoption service, but the level of such fees has to be reasonable, in an amount that is calculated in accordance with a schedule of fees approved by the DSW.

See the definition of "costs" provided in the harmonised Terminology, $\it ibid.$

See the tools developed by the "Experts' Group on the Financial Aspects of Intercountry Adoption", available on the <u>Adoption Section</u> of the HCCH website: *i.e.*, the *Terminology adopted by the Experts' Group on the financial aspects of intercountry adoption* ("Terminology"), the *Note on the financial aspects of intercountry adoption* ("Note"), the *Summary list of good practices on the financial aspects of intercountry adoption* and the *Tables on the costs associated with intercountry adoption*.

c)	Are the costs of intercountry adoption	ABs in the HKSAR have their individual fee schedule for adoption service. For any changes in level of fees, the DSW's approval on the change of level of fees must be sought before implementation of fee revision. No Through the accredited body:
	which must be paid in your State paid through the accredited body involved in the particular intercountry adoption (if applicable – see Question 16 (c) above) or directly by the PAPs themselves? See the "Note on the financial aspects of intercountry adoption" at para. 86.	☐ Directly by the PAPs: ☐ Other (please explain): ☐ If the court proceedings are processed in HKSAR, the PAPs are required to pay directly to the government of the HKSAR for the cost of guardian ad litem, with an amount of payment stipulated in the Adoption Ordinance (Cap. 290) and its subsidiary regulations. The DSW may, if necessary, waive payment of the fee or any part of it. For the adoption fees charged by ABs, the PAPs are required to pay directly to the concerned ABs.
d)	Are the costs of intercountry adoption which must be paid in your State paid in cash or only by bank transfer? See the "Note on the financial aspects of intercountry adoption" at para. 85.	 ☐ Only by bank transfer: ☐ In cash: ☑ Other (please explain): There is no restriction imposed on the means of payment but official receipts are required from both PAPs and the ABs for record.
e)	Which body / authority in your State receives the payments?	SWD and ABs in the HKSAR
f)	Does your State provide PAPs (and other interested persons) with information about the costs of intercountry adoption (e.g., in a brochure or on a website)? N.B. Please also ensure that your State has completed the "Tables on the costs associated with intercountry adoption" (see above).	Yes – please indicate how this information may be accessed: This information could be accessed in the service pamphlets and websites of the government of HKSAR and ABs in the HKSAR. No
30.	Contributions, co-operation projects and	donations ²¹
a)	Does your State permit contributions ²² to be paid (either through your State's	Yes – please explain:

See the definitions of these terms provided in the harmonised Terminology. In addition, on contributions and donations, see Chapter 6 of the Note (*op. cit.* note 19).

See further the harmonised Terminology, *supra*, note 19, which states that there are two types of contributions: (1) contributions demanded by the State of origin, which are mandatory and meant to improve either the adoption system or the child protection system. The amount is set by the State of origin. These contributions are managed by the authorities or others appropriately authorised in the State of origin which decide how the funds will be used; (2) contributions demanded by the accredited body from PAPs. These contributions may be for particular children's institutions (*e.g.*, for maintenance costs for the child) or for the co-operation projects of the accredited body in the State of origin. The co-

Central Authority or a national What type of contribution is permitted accredited body) to a State of origin in by your State: order to engage in intercountry Who is permitted to pay it (i.e., the adoption with that State? Central Authority or a national accredited body): For good practices relating to contributions, see the "Note on the financial aspects of intercountry How it is ensured that contributions do adoption" at Chapter 6. not influence or otherwise compromise the integrity of the intercountry adoption process: ⊠ No Yes - please explain: b) Does your State undertake (either through the Central Authority or What type of co-operation projects are national accredited bodies) copermitted by your State: operation projects in any States of Who undertakes such projects (i.e., the origin? Central Authority and / or national accredited bodies): Whether such projects are mandatory according to the law of your State: Whether such projects are monitored by an authority / body in your State: How it is ensured that co-operation projects do not influence or otherwise compromise the integrity of the intercountry adoption process: ⊠ No c) If permitted in the State of origin, does Yes – please explain: your State permit PAPs or accredited To whom donations may be made (e.g., bodies to make donations to to orphanages, other institutions and / orphanages, institutions or birth or birth families): families in the State of origin? What donations are intended to be N.B. This is <u>not</u> recommended as a good used for: practice: see further the "Note on the financial Who is permitted to pay donations aspects of intercountry adoption" at Chapter 6 (e.g., only accredited bodies or also (in particular, Chapter 6.4). PAPs): At what stage of the intercountry adoption procedure donations are permitted to be paid: How it is ensured that donations do not influence or otherwise compromise the integrity of the intercountry adoption procedure: ⊠ No

operation projects may be a condition of the authorisation of that body to work in the State of origin. The amount is set by the accredited body or its partners. The payment may not be a statutory obligation and accredited bodies may present the demand in terms of "highly recommended contribution", but in practice it is "mandatory" for the PAPs in the sense that their application will not proceed if the payment is not made.

31.	31. Improper financial or other gain (Arts 8 and 32)	
a)	Which authority is responsible for preventing improper financial or other gain in your State as required by the Convention?	The CA of the HKSAR
b)	What measures have been taken in your State to prevent improper financial or other gain?	(i) Section 22 of Adoption Ordinance (Cap. 290) has laid down the provisions about prohibition of payments (ii)ABs in the HKSAR are required to conduct on-going self-assessment and submit Self-assessment Report annually to the CA; (iii) The ABs are also required to submit annual audited fianancial report to the CA for vetting; and (iv) Renewal of accreditation for ABs once per 4 years is required.
c)	Please explain the sanctions which may be applied if Articles 8 and / or 32 are breached.	Any person who contravenes the provisions of Section 22 of Adoption Ordinance regarding prohibition of certain payments, shall be gulity of an offence and shall be liable to fine and imprisonment.

PART XI: ILLICIT PRACTICES²³

32. Response to illicit practices in general

Please explain how your Central Authority and / or other competent authorities respond to intercountry adoption cases involving alleged or actual illicit practices.²⁴ The CA and Court will act in accordance with section 24 of the Adoption Ordinance (Cap. 290) which sets out a number of adoption related offences. Any body or corporate proven to have committed the offence shall be deemed guilty and liable to punishment.

33. The abduction, sale of and traffic in children

Please indicate which laws in your State seek to prevent the abduction, sale of and traffic in children in the context of your intercountry adoption programmes.

Please also specify which bodies / persons the laws target (e.g., accredited Provisions under section 24 of the Adoption Ordinance are applicable to any person. Where any offence under the Ordinance committed by a body corporate is proved to have been committed with the consent or connivance of, or to be attributable to any neglect on the part of, any director, manager,

[&]quot;Illicit practices" in this Country Profile refers to "situations where a child has been adopted without respect for the rights of the child or for the safeguards of the Hague Convention. Such situations may arise where an individual or body has, directly or indirectly, misrepresented information to the biological parents, falsified documents about the child's origins, engaged in the abduction, sale or trafficking of a child for the purpose of intercountry adoption, or otherwise used fraudulent methods to facilitate an adoption, regardless of the benefit obtained (financial gain or other)" (from p. 1 of the Discussion Paper: Cooperation between Central Authorities to develop a common approach to preventing and addressing illicit practices in intercountry adoption cases, available on the Adoption Section of the HCCH website < www.hcch.net >). Ibid.

bodies (national or foreign), PAPs, directors of children's institutions).	member of the committee, secretary or other officer of the body, he, as well as the body, shall be deemed guilty of that offence and shall be liable to be proceeded against and punished accordingly.
b) Please explain how your State monitors respect for the above laws.	All adoptions in the HKSAR may only be effected in accordance with the Adoption Ordnance (Cap. 290).
 c) If these laws are breached, what sanctions may be applied? (e.g., imprisonment, fine, withdrawal of accreditation.) 	Any person who contravenes the provisions of Adoption Ordinance shall be guilty of an offence and shall be liable to fine and imprisonment.

34. Private and / or independent adoptions	
Are private and / or independent adoptions permitted in your State? N.B. "Independent" and "private" adoptions are not consistent with the system of safeguards established under the 1993 Adoption Convention: see further GGP No 1 at Chapters 4.2.6 and 8.6.6.	 □ Private adoptions are permitted – please explain how this term is defined in your State: □ Independent adoptions are permitted - please explain how this term is defined in your State:
Please tick all which apply.	Neither private nor independent adoptions are permitted.

PART XII: INTERNATIONAL MOBILITY

35. The scope of the 1993 Adoption Convention (Art. 2) Yes – please explain whether this would be a) If foreign national PAPs, habitually treated as an intercountry or domestic resident in your State, wish to adopt a adoption in your State²⁵ and please briefly child habitually resident in another Contracting State to the 1993 Adoption explain the procedure which would be Convention, are they permitted to do followed, as well as any specific criteria / so under the law of your State? conditions which would apply: This would be treated as an intercountry Example: Indian PAPs are habitually resident in the USA and wish to adopt a child habitually adoption and the related procedure should resident in India. be followed. No b) If foreign national PAPs, habitually Yes – please explain whether this would be treated as an intercountry or domestic resident in your State, wish to adopt a adoption in your State²⁶ and please briefly child also habitually resident in your explain the procedure which would be State, are they permitted to do so under the law of your State? followed, as well as any specific criteria / conditions which would apply:

According to the 1993 Adoption Convention (see Art. 2), this is an *intercountry* adoption due to the differing habitual residences of the PAPs and the child. The Convention procedures, standards and safeguards should therefore be applied to such adoptions: see further, GGP No 1 (*op. cit.* note 12), Chapter 8.4.

According to the 1993 Adoption Convention (see Art. 2), this is a *domestic* adoption due to the fact that the habitual residence of the PAPs and the child is in the <u>same</u> Contracting State: see further, GGP No 1 (*op. cit.* note 12), Chapter 8.4.

Example: Indian PAPs are habitually resident in This would be treated as domestic adoption the USA and wish to adopt a child also habitually in the HKSAR. resident in the USA. According to section 5(6) of Adoption Ordinance (Cap. 290), adoption order shall not be made unless the applicant and the infant reside in Hong Kong SAR. Besides, the infant shall be continously in actual custody of applicant for at least 6 consective months immediately preceding the date of the adoption order in accordance to section 5(7)(a). No If a State of origin treats an adoption by There is no restriction imposed on this PAPs habitually resident in your State circumstance with the condition that the as a domestic adoption when, in fact, it adoption placement is completed in the State of origin and the adoption order is not finalised in should be processed as an intercountry adoption under the 1993 Adoption the HKSAR. Convention, how does your State deal with this situation? Example: PAPs who are nationals of State X habitually reside in your State. They wish to adopt a child from State X. Due to their nationality, the PAPs are able to adopt a child in State X in a domestic adoption procedure (in breach of the Convention). They then seek to bring the child back to your State.

PART XIII: SELECTION OF PARTNERS FOR INTERCOUNTRY ADOPTION²⁷

36. Selection of partners		
a)	With which States of origin does your State currently partner on intercountry adoption?	The HKSAR has the partnership with the CAs of the following countries as State of origin on intercountry adoption: - India; and - Thailand.
b)	How does your State determine with which States of origin it will partner? In particular, please specify whether your State only partners with other Contracting States to the 1993 Adoption Convention. To see which States are Contracting States to the 1993 Adoption Convention, please refer to the Status Table for the Convention (accessible via the Adoption Section of the HCCH website < www.hcch.net >).	ABs in the HKSAR will try to extend overseas network and seek the approval of the CA of the HKSAR for the partnership. The CA of the HKSAR will examine the conditions of the AB/adoption agencies/CA of the State of origin to see whether the proposed adoption arrangement is up to the standard of convention adoption. There is no restriction for the ABs in the HKSAR to establish and extend partnership with non-Contracting States.

In relation to the choice of foreign States as partners in intercountry adoption arrangements, see further GGP No 2 (op. cit. note 3), Chapter 3.5.

c)	If your State also partners with <i>non</i> -Contracting States, please explain how it is ensured that the safeguards of the 1993 Adoption Convention are complied with in these cases. ²⁸	ABs should ensure that the adoption arrangement with non-Contracting State would be up to the standards of the Hague Convention adoption. Not applicable: our State only partners with other Contracting States to the 1993 Adoption Convention.
d)	Are any formalities required in order to commence intercountry adoptions with a particular State of origin (e.g., the conclusion of a formal agreement ²⁹ with that State of origin)?	Yes – please explain the content of any agreements or other formalities:30 Upon receiving the request from ABs in the HKSAR, the CA will assess their application and make endorsement to confirm a partnership. No

See GGP No 1 (*op. cit.* note 12), Chapter 10.3 regarding the fact that "[i]t is generally accepted that States Party to the Convention should extend the application of its principles to non-Convention adoptions".

30 Ibid.

See note 2 above concerning Art. 39(2) and the requirement to transmit a copy of any such agreements to the depositary for the 1993 Adoption Convention.