

**QUESTIONNAIRE SUR LES QUESTIONS DE DROIT INTERNATIONAL PRIVÉ RELATIVES AU
STATUT DES ENFANTS, NOTAMMENT CELLES DÉCOULANT DES CONVENTIONS DE
MATERNITÉ DE SUBSTITUTION À CARACTÈRE INTERNATIONAL**

établi par le Bureau Permanent

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**QUESTIONNAIRE ON THE PRIVATE INTERNATIONAL LAW ISSUES
SURROUNDING THE STATUS OF CHILDREN, INCLUDING ISSUES ARISING FROM
INTERNATIONAL SURROGACY ARRANGEMENTS**

drawn up by the Permanent Bureau

*Document préliminaire No 3 d'avril 2013 à l'attention
du Conseil d'avril 2014 sur les affaires générales et la politique de la Conférence*

*Preliminary Document No 3 of April 2013 for the attention
of the Council of April 2014 on General Affairs and Policy of the Conference*

**QUESTIONNAIRE SUR LES QUESTIONS DE DROIT INTERNATIONAL PRIVÉ RELATIVES
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INTRODUCTION

This Questionnaire is addressed to Members of the Hague Conference and other interested States in fulfilment of the mandate provided by the Council on General Affairs and Policy of the Conference ("the Council") in April 2012, following consideration of Preliminary Document No 10 of March 2012.¹ The Council requested that the Permanent Bureau "continue the current work under the 2011 Council mandate and further prepare and distribute a Questionnaire in order to obtain more detailed information regarding the extent and nature of the private international law issues being encountered in relation to international surrogacy arrangements, as well as in relation to legal parentage or "*filiation*" more broadly. The Questionnaire shall seek views on the needs to be addressed and approaches to be taken. The Permanent Bureau is invited to present its final Report to the Council in 2014".²

A detailed chronology of the background to this project is available on the website of the Hague Conference < www.hcch.net >, under "The Parentage / Surrogacy Project", then "Chronology of the Project (including relevant documentation)".

This Questionnaire has **five parts**:

- **Part I** contains questions on the internal law concerning the establishment and contestation of legal parentage in Member and interested States;
- **Part II** looks to the private international law ("PIL") and co-operation rules concerning birth registration and the establishment, recognition and contestation of legal parentage;
- **Part III** addresses the particular challenges concerning International Surrogacy Arrangements³ ("ISAs");
- **Part IV** considers the current bilateral or multilateral instruments in the field; and
- **Part V** asks for thoughts on possible future work in this area.

Please note that the Permanent Bureau has used the same terminology in this Questionnaire as that which was used in the **Glossary** annexed to Preliminary Document No 10 of March 2012. This Glossary is accessible on the webpage of the project, "The Parentage / Surrogacy Project", then "Chronology of the Project (including relevant documentation)" and then "2011 – 2013".

The Permanent Bureau invites responses to the Questionnaire (in either English or French) by no later than **1 August 2013** in order to allow the Permanent Bureau sufficient time to consider the responses and to prepare the final Report requested by Members for the Council of 2014. Answers should be sent by e-mail to < secretariat@hcch.net > with the following heading and indication in the subject field: "Questionnaire – Parentage / Surrogacy – [name of Member, State or territorial unit, where applicable]".

It is acknowledged that this is a detailed Questionnaire and that, due to the legal position in some States, it may not be possible for Members and interested States to respond to all of the questions. The Permanent Bureau is extremely grateful for any information which may be provided. It is also hoped that the detailed information in the responses to this Questionnaire may be of use to Members beyond this project: for example, where consideration is being given, now or in the future, to domestic legislation in any of the areas considered below.

¹ Prel. Doc. No 10 of March 2012, "A Preliminary Report on the issues arising from international surrogacy arrangements", drawn up by the Permanent Bureau. See also the earlier Prel. Doc. No 11 of March 2011, "Private international law issues surrounding the status of children, including issues arising from international surrogacy arrangements", also drawn up by the Permanent Bureau.

² Conclusions and Recommendations of the Council on General Affairs and Policy of the Conference, 17-20 April 2012, para. 21. The "2011 Council mandate" referred to therein can be found at paras 17 to 20 of the Conclusions and Recommendations of the Council on General Affairs and Policy of the Conference, 5-7 April 2011.

³ See the **Glossary** annexed to Prel. Doc. No 10 of March 2012 (see note 1) for the definition of the terms used in this paper.

Please note: the Permanent Bureau intends, except where expressly asked not to do so, to place replies to this Questionnaire on the website of the Hague Conference.

Identification

Name of State (or territorial unit, where applicable): **Ukraine**

Information for follow-up purposes

Name and title of contact person: Lyudmyla Ruda, Olga Zozulia

Name of Authority / Office: Ministry of Justice of Ukraine

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QUESTIONNAIRE

Note: wherever possible, please provide a copy of, or a web-link to, any legislation, rules or case law mentioned in your response, along with a translation into English or French.

Part I: Internal law concerning the establishment and contestation of legal parentage

A. Birth registration

1. Please indicate which authorities in your State are responsible for registering the birth of a child:
 - divisions of the state registration of the civil status acts of the Territorial Departments of the Ministry of Justice of Ukraine;
 - the executive bodies of village, town and city councils (only the state registration of the birth of an individual, information concerning origin of an individual, his/her marriage, death);
 - diplomatic missions and consular institutions of Ukraine in regard of the nationals of Ukraine residing or temporarily staying abroad.

2. Please briefly describe the procedure for birth registration in your State, indicating whether such registration is mandatory⁴ and providing details concerning any specific rules which must be complied with (e.g., within what timeframe must a birth be registered, who can register a birth and where must the birth be registered):

In accordance with Article 13 of the Law of Ukraine "On the State Registration of Civil Status Acts" the state registration of birth of a child is made simultaneously with the determination of the child's origin and assigning family name, first name and father's name upon a written or an oral request of the parents or one of them at the place of the child's birth or of residence of the parents.

If the state registration of a child's birth is made at the place of residence of parents or one of them, then, if they wish the child's factual place of birth or place of residence of parents or one of them may be indicated as the place of child's birth in the birth record.

In case of death of the parents or in case they cannot not register the child's birth on other reasons, the state registration of a birth is made upon the request of relatives, other persons, authorized representative of health facility, where the child was born or stays.

The state registration of a birth must be made not later than one month after his/her birth, and in case of the birth of a dead child - within three days.

The ground for the state registration of the child's birth are the documents, proving the fact of birth, determined by the Central Body of the Executive Power, assuring the state policy in the health protection area.

In case the child was born outside the health protection institution the document confirming the fact of birth is issued by the health protection institution which conducted the examination of the mother and child. In case the health protection institution did not make any examination of the mother and child the document confirming the fact of birth is issued by medical consultative commission in order prescribed by the Cabinet of Ministers of Ukraine.

Medical consultative commission issues the document on birth in case of establishing the fact of birth of the child by the woman.

⁴ See Art. 7 of the United Nations *Convention on the Rights of the Child* ("UNCRC"), 20 November 1989, which states that, "The child shall be registered immediately after birth and shall have the right from birth to a name, the right to acquire a nationality and, as far as possible, the right to know and be cared for by his or her parents."

In case of absence of the document of the health protection institution or medical consultative commission, confirming the fact of birth, the ground for the state registration of the civil status is the judgement establishing the fact of birth. Registration of the child's birth is certified by the Certificate of Birth, the pattern of which is adopted by the Cabinet of Ministers of Ukraine (Article 144 of the Family Code of Ukraine).

3. Please explain what proof (evidence), if any, of legal *maternity* is required by the authorities in your State in order to register a putative⁵ legal mother:

Please tick all which apply:

- a) Hospital birth record (stating the name of the woman who gave birth to the child)
- b) Sworn statement by the putative legal mother stating that she gave birth to the child
- c) Signed statement by the putative legal mother stating that she gave birth to the child
- d) DNA test to prove a genetic link between the putative legal mother and child
- e) Other: please explain Judicial decision establishing the fact of birth in case of absence of documents issued by hospital or medical consultative commission, which confirm the fact of birth.

4. Please explain what proof (evidence), if any, of legal *paternity* is required by the authorities in your State in order to register a putative legal father:

Please tick all which apply:

- a) Formal acknowledgement⁶ by the putative legal father
- b) Formal acknowledgement by the putative legal father, with the legal mother and / or the child's evidenced consent: please specify In case the mother and the father of a child are not married to each other, the origin of the child from the father is determined upon the statement of the child's mother and the father or upon the court decision (Part 2 of Article 125 of the Family Code of Ukraine).
- c) Judicial decision (court order) establishing or confirming legal paternity
- d) Sworn statement by the putative legal father stating that he is the genetic father
- e) Signed statement by the putative legal father stating that he is the genetic father
- f) Signed agreement between the legal mother and putative legal father
- g) DNA test to prove a genetic link between the putative legal father and child
- h) Other: please explain In case a child was born in marriage, the certificate of marriage is enough proof for establishing the legal paternity of spouses as mother and father of a child.

5. What are the legal consequences in the internal law of your State of registering persons in the State records⁷ as the parents of a child?

⁵ "Putative" means "generally considered or reputed to be" and, in this document, is taken to mean the woman or man *claiming or claimed* (where it is not the individual him or herself making the assertion) to be the legal mother or father, respectively, of the child.

⁶ In some States, this is called a "recognition" of paternity: the term "acknowledgement" is used in this document to avoid confusion with the private international law concept of "recognition". See further **Questions 11** *et seq.* below.

⁷ It is acknowledged that there are different methods of recording births (and therefore legal parentage) across States, as well as different rules concerning matters such as when birth certificates will be issued, what information should be contained within the certificate and their constitutive value. Where applicable, please provide information concerning the rules in your State.

- a) Those persons are the legal parents of the child for all purposes, unless and until the record is contested, and all the legal consequences which flow from legal parentage in your State will automatically follow (e.g., duty to provide maintenance, inheritance rules, naming rules, etc.):
- b) Other: please explain
6. Are there any penalties for failing to comply with the rules concerning birth registration in your State: for example, misrepresenting to the authorities who are / is the legal parent(s) of the child?

Yes.

Reporting untruthful information to the state registration authority of civil status acts as well as untimely unreasonable registration of the child's birth by parents in the state registration authority of civil status acts entails the imposition of administrative fine (Article 212-1 of the Code of Ukraine on Administrative Offences).

7. Is it possible to give birth anonymously in your State?

- Yes
 No

If so, please explain any rules which apply to such an anonymous birth and state what will appear on the child's birth record and / or birth certificate:⁸

B. Establishment of legal parentage

8. Who, upon the birth of a child, is the legal mother *by operation of law* in your State (*i.e.*, with no need for the woman to take any steps to establish her legal maternity)?
- a) The woman who gives birth to the child
- b) The genetic mother (*i.e.*, the woman whose gamete (egg) created the child)
- c) There is no legal mother *by operation of law*: please explain
- d) Other: please explain In case of transfer into the woman's organism the human's embryo, conceived by spouses (man and woman) at a result of use of ART, the latter are the child's parents. The spouses are also considered to be the parents of the child, born by a wife after transfer into her organism of the human's embryo, conceived by her husband and another woman at a result of use of ART (Article 123 of the Family Code of Ukraine).
9. Who, upon the birth of a child, is the legal father *by operation of law* in your State (*i.e.*, with no need for the man to take any steps to establish his legal paternity)?
- a) The husband of the woman who gave birth is *presumed* to be the legal father
- b) The male partner of the woman who gave birth is *presumed* to be the legal father – please provide any conditions (e.g., the couple must be cohabiting, etc.)
- c) The genetic father (*i.e.*, the man whose gamete (sperm) created the child)
- d) There is no legal father *by operation of law*: please explain
- e) Other: please explain In case of transfer into the woman's organism the human's embryo, conceived by spouses (man and woman) at a result of use of ART, the latter are the child's parents. The spouses are also considered to

⁸ In this document, the "birth record" is taken to mean the information concerning the child's birth (including legal parentage) which is stored in the records of the relevant State authority (usually a registry). In contrast, the "birth certificate" is taken to mean the *extract* from this birth record which is usually given to the legal parent(s) to keep. In some States it may be that the information contained within the birth record is comprehensive (e.g., if the child has been adopted, the birth record will identify the birth parent(s)), whereas the birth certificate may provide only a limited part of this information. See also *ibid*.

be the parents of the child, born by a wife after transfer into her organism of the human's embryo, conceived by her husband and another woman at a result of use of ART (Article 123 of the Family Code of Ukraine).

If legal presumptions operate in your State, please specify in what circumstances, if any, it is possible to "rebut" these presumptions: Spouses, as well as a husband and a wife marriage between whom is dissolved, in case if a child was born before the expiry of ten months after dissolution of their marriage, have a right to file to the state registration authority of civil status acts joint statement of non-recognition of a husband (ex-husband) as a father of a child. This statement may be satisfied only in case of filing by other person and the child's mother a statement of acknowledgment of paternity. If a child was born before an expiry of ten months from the date of termination of marriage due to the husband's death, the origin of a child from the father may be determined by joint statement of the mother and a man who considers himself a father (this legislative provisions are enshrined in the Article 122 of the Family Code of Ukraine).

According to Article 124 of the Family Code of Ukraine if the child was born before the expiry of ten months from the date of termination of marriage or its annulment, but after registration of her mother's remarriage to another person, it is considered that a father of the child is the new husband of a mother. Paternity of the previous husband may be determined on the basis of joint statement with husband remarried or by a court decision.

Person who considers himself a father of a child born by a woman who at the moment of child's conception or birth was married with another man has a right to file a claim on recognition of his paternity to the husband if he is recorded as a father of a child (Article 129 of the Family Code of Ukraine).

Person recorded as child's father in accordance with Article 122 (presumption of husband's paternity), Article 124 (presumption of paternity of husband in second marriage), Article 126 (voluntary acknowledgement of the paternity of the man who is not married with child's mother) of the Family Code of Ukraine, may contest his paternity by filing the claim on deletion the record of him as a father from the act's record of child's birth. In case of proving the absence of genetic relationship between person recorded as father and a child the court delivers the judgement on deletion the record of person as a father from the act's record of child's birth. Person recorded as child's father has no right to contest his paternity if at the moment of his registration as child's father he knew that he had not been a father as well as a person who consented on use of ART.

10. a) Is it possible in your State for a non-contentious (*i.e.*, unchallenged) application to be made to the relevant State authorities for a decision (sometimes called a "declaration") establishing or confirming the legal parentage of a child?

- Yes – go to **Question 10 b)**.
 No – go to **Question 11**.

- b) Please explain to which State authorities such an application should be made:

- i. The authority responsible for birth registration (see **Question 1** above)
 ii. The judicial authorities (*i.e.*, the courts): please specify
 iii. Other State administrative authorities: please specify
 iv. Other: please explain

- c) Please explain who may bring such an application:

- i. Only the individuals currently considered to be the legal mother and / or father and / or the child
 ii. Any person claiming to be the legal mother and / or father of a child

- iii. Any person determined by the State authorities to have sufficient interest to bring a claim: please explain how the authorities determine this question:
- iv. Any person
- v. Other: please explain

d) Is there a timeframe within which such an application must be made?

- Yes, please specify:
- No
- In certain situations: please explain

11. Is it possible in your State for a putative legal father to “voluntarily acknowledge”⁹ his legal paternity (if his legal paternity has not arisen by operation of law – see **Question 9** above)?

- Yes
- No

If so, please explain (1) if there are any restrictions placed upon who may undertake such an acknowledgement (*e.g.*, it must be the genetic father, the man must be over a certain age, etc.), (2) the procedure for undertaking such an acknowledgement, and (3) whether the consent(s) of the mother and / or child are required:

In case the mother and the father of a child are not married to each other, the origin of the child from the father is determined upon the statement of the child's mother and the father or upon the court decision (Part 2 of Article 125 of the Family Code of Ukraine). Such statement may be filed to the state registration authority of civil status acts before and after the child's birth (Part 1 Article 126 of the Family Code of Ukraine).

If the statement of acknowledgement of the paternity of a child is filed by a minor, the state registration authority of civil status acts informs parents, a guardian, a custodian of a minor about the record of him as the child's father. In case of impossibility to inform parents, a guardian, a custodian of a minor, the state registration authority of civil status acts has to notify the guardianship authority about the record of a minor as the child's father.

If the statement of acknowledgement of paternity can not be filed personally, it may be filed through a representative or sent by post, if it notarized. The authority of a representative must be notarized.

Spouses, as well as woman and man, whose marriage has been dissolved, in case a child was born before expiration of 10 months after dissolution of their marriage, have a right to file to the state registration authority of civil status acts a common application on non-acknowledgement of a man (former husband) as a father of a child. Such request can be satisfied only in case of filing by another person and child's mother an application on acknowledgement of paternity.

If a child was born before expiration of ten months from the day of termination of marriage because of husband's death, the origin of a child from a father may be defined upon a common application of a mother and a man who consider himself a father.

In particular, please state whether it is possible for an individual to acknowledge legal paternity to representatives of your State authorities in another country (*e.g.*, usually to the consulate or embassy in that State). Please explain the procedure and whether there are any conditions which must be fulfilled:

⁹ See note 6 above.

As far as diplomatic missions and consular institutions of Ukraine act as state registration authority of civil status acts in regard of the citizens of Ukraine residing or temporarily staying abroad they follow the same procedure as prescribed by the Family Code of Ukraine.

According to Article 23 of the Law of Ukraine "On Private International Law" the registration of the civil status acts of the Ukrainian nationals, who reside outside Ukraine, may be performed in the consular office or diplomatic mission of Ukraine. For this purpose the law of Ukraine applies.

12. Is it possible in your State for a putative legal mother to "voluntarily acknowledge" her legal maternity?

- Yes
 No
 Not applicable – legal maternity always arises by operation of law (see **Question 8** above).

If so, please explain (1) if there are any restrictions placed upon who may undertake such an acknowledgement (*e.g.*, it must be the genetic mother, the woman must be over a certain age, etc.), (2) the procedure for undertaking such an acknowledgement, and (3) whether the consent(s) of any others is / are required:

In particular, please state whether it is possible for an individual to acknowledge legal maternity to representatives of your State authorities in another country (*e.g.*, usually to the consulate or embassy in that State). Please explain the procedure and whether there are any conditions which must be fulfilled:

13. Please explain the legal consequences in your State of a "voluntary acknowledgement" of legal parentage:

- a) Once the acknowledgement is authenticated by the State authorities, the author of the acknowledgement is considered a legal parent of the child for all purposes
b) The acknowledgement is merely a declaration by the author that he / she is a legal parent and says nothing of the State's acceptance of that issue
c) Other: please specify

14. Is it possible for legal parentage to be established by agreement between the putative parents?

- Yes
 No

If so, please explain the procedure which must be undertaken and specify any restrictions which operate:

15. Is it possible in your State for two persons of the same-sex to be the legal parents of a child?

- Yes
 No

If so, please explain the legal mechanisms available in your State which enable this. Please tick all which apply and provide a brief explanation of the rule(s) and procedure. This may arise by:

- a) Operation of law:
- b) Order of the court or other State authorities:
- c) Adoption:
- d) Other:

C. Establishment of legal parentage where assisted reproductive technology ("ART")¹⁰ has been used¹¹

16. Is ART permitted in your State?

- Yes, all forms of ART are permitted
- Yes, but there are certain restrictions on the services available and who may access these services: please specify The Civil Code of Ukraine envisages that full of legal age woman or man have a right under medical indications to carrying out for herself/himself treatment programs of ART in accordance with order and conditions prescribed by legislation.
- No, all forms of ART are completely prohibited – **please go to Section D.**
- Other: please explain

17. Is there regulation of the use of ART in your State?

- Yes, legislation regulates the use of ART: please briefly explain The Civil Code of Ukraine (Article 281), the Family Code of Ukraine (Article 123), the Law of Ukraine "On Bases of Legislation of Ukraine on Health Protection". According to the provisions of these documents there was adopted the order of the Ministry of Health of Ukraine on September 09, 2013 № 787 "On approval of the Order on the use of assisted reproductive technologies in Ukraine", registered in the Ministry of Justice of Ukraine on October 02, 2013 #1697/24229.
- Yes, there are rules or "codes of practice" concerning the use of ART which emanate from the medical regulatory bodies: please briefly explain
- No, there are no rules at all – **go to Question 19**
- Other: please explain

18. If ART is regulated in your State, please state whether the legislation or rules specify the following matters (please tick all which apply and provide a brief explanation, where applicable):

- a) Who may access ART services (*e.g.*, relationship status; age or health requirements; nationality / residency requirements, etc.): Full of legal age women and/or men have a right under medical indications to undergo the ART treatment programs. Decision on carrying the ART's methods is taken after filling by the patient(s) of application on carrying the ART, medical examination and relevant survey. Patients can undergo the ART's treatment in case of absence of medical counterindications.
- b) Who may perform ART services: ART procedures are conducted in health protection institutions which have the medical staff in accordance with staff schedule adopted in health protection institution.
- c) The regulation of medical or other institutions which perform ART services (*e.g.*, the licensing of clinics or hospitals): ART must be used in health

¹⁰ In this document, "assisted reproductive technology" ("ART") is used in a broad sense to refer to any method used to achieve conception which involves artificial or partially artificial means and which is undertaken by a medical / health clinic or institution: *e.g.*, two of the most common methods are artificial insemination and in-vitro fertilisation. Donated gametes (egg and sperm) may be used but will not always be necessary. In this document, ART does not refer to "do-it-yourself" or "DIY" methods of non-procreative conception: *i.e.*, where medical third parties are not involved in the procedure.

¹¹ Please note: surrogacy arrangements are dealt with separately in **Section D** below.

protection institutions which have licence on medical practice and relevant equipment prescribed by legislation. For health protection institutions which conduct medical practice over two years the accreditation certificate is also required.

- d) Which ART services may be performed: examination of persons referring to which ART treatment programs are fulfilled; conducting fertilization in vitro; intrauterine insemination; gamete and embryo donation; surrogacy; cryopreservation of sperms, oocytes, embryo and biological material, obtained from the testicle or its appendage, ovarian fibre.
- e) Whether egg donation is permitted and, if so, under which conditions: Permitted under donor's informed voluntary consent and a number of medical conditions: woman aged from 18 to 36, having born healthy child; absence of negative phenotypic manifestations; satisfactory somatic health; absence of contraindications for participation in oocytes donation program; absence of genetical diseases; absence of pernicious habits such as narcomania, alcoholism, toxicomania.
- f) Whether sperm donation is permitted and, if so, under which conditions: Permitted under donor's informed voluntary consent and a number of medical conditions. Sperm's donor can be a man aged from 20 to 40 in case he has his born healthy child. Sperm's donor must not have negative phenotypic manifestations. Sperm donation is allowed upon absence of somatic and genetic diseases which can have negative influence on health of future child, deviations from normal morphometric and phenotypic characteristics as well as other contraindications. Sperm's donation is not allowed in case of use of narcotic, psycotropic and toxic substances and misuse of alcohol.
- g) The costs of ART (including the amount paid to any gamete donors):
- h) The anonymity of gamete donors: Donor can be known to the patient or stay anonymous.
- i) The right of the child to know his / her genetic or birth origins:
- j) The legal parentage of any child born as a result of ART (see also **Questions 19 to 22** below)
- k) Other: please explain

19. Please explain the consequences of ART for legal *maternity* arising *by operation of law*:

- a) The woman giving birth to the child will always be considered the legal mother of the child, irrespective of any ART which has led to the birth
- b) Other: please specify In case of transfer to the organism of another woman of human's embryo conceived by spouses (man and woman) at a result of use of ART the latters are the parents of a child.

Spouses are acknowledged the parents of a child born by a wife after transfer into her organism of the human's embryo conceived by her husband and other woman at a result of use of ART.

Please explain whether the above position results from legislation, rules, case law or other source(s) of law: legislation - Article 123 of the Family Code of Ukraine.

20. Please explain the consequences of ART for legal *paternity* arising *by operation of law*:

Please tick all boxes which apply:

- a) The husband of the woman giving birth following ART is the legal father of the child born: please state whether it is necessary for him to have formally consented to the treatment: yes
- b) The male partner of the woman giving birth following ART is the legal father of the child born: please state whether it is necessary for him to have formally consented to the treatment:
- c) The genetic father will always be the legal father:
- d) Other: please explain

Please explain whether the above position results from legislation, rules, case law or other source(s) of law: legislation - the Family Code of Ukraine

21. Please explain whether gamete donors are, in any situations, considered the legal parent(s) of a child born as a result of ART:

No

22. If your State permits same-sex couples to access ART services, please specify the consequences concerning legal parentage for any child born to a same-sex couple who have used ART to conceive a child:

Not applicable.

23. Is information available concerning how many ART procedures are undertaken in your State each year and how many children are born as a result?

- Yes: please provide a copy of, or a link to, the information
- No
- Other: please explain

D. Surrogacy arrangements

Regulation of surrogacy

24. Does your State permit surrogacy arrangements?

- a) Yes, *all* forms of surrogacy arrangements are permitted:
- i. As a result of express legislation or rules: please specify
 - ii. By default, because surrogacy is unregulated in internal law.
Go to **Question 26**.
- b) No, any form of surrogacy is expressly prohibited by law: please specify, including any sanctions for breach of this prohibition:

Go to **Question 26**.
- c) It depends upon the nature of surrogacy arrangement (*e.g.*, commercial surrogacy arrangements are prohibited): please specify
- d) Other: please specify Ukrainian legislation allows use of ART and surrogacy in general, but does not specify the terms and conditions for surrogacy agreements. The commercial surrogacy is neither expressly prohibited by law nor allowed.

The Civil Code of Ukraine (Article 281), the Family Code of Ukraine (Article 123), the Law of Ukraine "On Bases of Legislation of Ukraine on Health Protection". According to the provisions of these documents there was adopted the order of the Ministry of Health of Ukraine on September 09, 2013 № 787 "On approval of the Order on the use of assisted reproductive technologies in Ukraine", registered in the Ministry of Justice of Ukraine on October 02, 2013 #1697/24229.

Please provide any clarification, where necessary:

25. If your State has legislation or rules permitting certain or all forms of surrogacy arrangements:

a) Are the parties to the surrogacy arrangement required to obtain State approval of the arrangement *prior* to conception?

- Yes, pre-approval by the relevant State authorities is required. Please explain the procedure:
- No, pre-approval is not required – the rules only regulate legal parentage following the birth of the child. Please explain the procedure: The Family Code of Ukraine regulates the legal parentage of a child born at a result of ART treatment.
- Other: please specify

b) Please state whether the following aspects of the surrogacy arrangement are regulated in the rules / legislation:

Please tick all which apply:

- i. Who may be intending parents¹² to an arrangement, including:
- a. Nationality, domicile or residency requirements:¹³ please specify
- b. Marital or other relationship status:
- c. Age requirements:
- d. Health requirements:
- e. Psycho-social requirements:
- f. Other:
- ii. Who may be a surrogate mother, including:
- a. Nationality, domicile or residency requirements:¹⁴ please specify:
- b. Marital or other relationship status:
- c. Age requirements:
- d. Health requirements:
- e. Psycho-social requirements:
- f. The surrogate mother must already have her own children:
- g. Other:
- iii. Which types of surrogacy arrangements are permitted, *e.g.*, traditional and / or gestational,¹⁵ etc.: please specify only gestational. The Surrogate mother must not have the direct genetic connection with a child. The spouses (or one of them) must have the genetic connection with a child.
- iv. Which medical institutions or clinics may facilitate a surrogacy arrangement (where medical intervention is necessary for the type of surrogacy arrangement being undertaken) and the level of financial remuneration which may be paid for these services: please specify

¹² Please note that, for simplicity, this document always refers to intending parents in the plural form. However, it is understood that, in some States and in certain circumstances, single persons may enter into a surrogacy arrangement. Please specify this, where relevant, in any response.

¹³ See **Parts II** and **III** below for questions concerning the private international law rules regarding legal parentage and international surrogacy arrangements, respectively.

¹⁴ See **Parts II** and **III** below for questions on the private international law rules concerning legal parentage and international surrogacy arrangements, respectively.

¹⁵ See the **Glossary** for the definition of the terms used in this paper.

- v. Which other bodies or persons may facilitate a surrogacy arrangement (e.g., by acting as an intermediary and undertaking tasks such as advertising for surrogate mothers, "matching" surrogate mothers with intending parents, organising certain aspects of the arrangements for the intending parents, etc.) and whether such bodies or persons may receive financial compensation for their services: please specify
- vi. Whether financial remuneration can be paid to the surrogate mother:
- a. No financial remuneration at all is permitted
 - b. Only reasonable expenses may be paid: in which case please state whether the legislation or rules define what are such "reasonable expenses":
 - c. Compensation beyond reasonable expenses is permitted: please specify what exactly is permitted:
- vii. Whether the surrogacy arrangement is contractually enforceable: please specify
- viii. Who may make decisions concerning the pregnancy (e.g., whether an abortion should be undertaken in certain situations, what medical treatment should be undertaken if problems arise, etc.): please specify Usually the surrogate mother, but it can be the subject of the surrogate agreement
- ix. The legal parentage of the child following his / her birth (see further **Questions 26 to 30** below): Article 123 of the Family Code of Ukraine
- x. Other: please specify
- c) Please explain any legal consequences, both criminal (e.g., penal sanctions) and civil (e.g., for the legal parentage of the child), if the requirements set down in the legislation or rules are not complied with:
- d) Please provide any additional information you think necessary concerning the nature of the regulation of surrogacy in your State: Due to low legal regulation of the surrogacy agreements, the provisions and conditions of such agreements are formed basing on legal and judicial practice.

Surrogacy and legal parentage

26. Upon the birth of a child following a surrogacy arrangement, who is / are the legal parent(s) *by operation of law* (i.e., without any further steps being taken by any individuals) according to the internal law of your State?

Please explain, including whether this results from legislation, case law or other source(s) of law: According to the Family Code of Ukraine in case of delivering by a woman a child conceived at a result of use of ART, performed upon written consent of her husband the latter is recorded as child's father.

In case of transfer to the organism of another woman of human's embryo conceived by spouses (man and woman) at a result of use of ART the latters are the parents of a child.

Spouses are acknowledged the parents of a child born by a wife after transfer into her organism of the human's embryo conceived by her husband and other woman at a result of use of ART.

27. Where necessary, are there any legal mechanisms in your State to enable intending parents to establish their legal parentage?

Please tick all which apply:

- a) Yes, prior to the birth the intending parents can seek a court order declaring that they will be the parents of the child born to a surrogacy arrangement (a "pre-birth order").

Please specify any legal requirements to obtain such an order (including any nationality / domicile / residency requirements) and state whether this position arises from legislation, case law or other source(s) of law:

- b) Yes, *following* the birth the intending parents can seek a court order transferring legal parentage to them (in some States, known as a "parental order" or "parentage order").

Please specify any legal requirements to obtain such a transfer of legal parentage (including any nationality / domicile / residency requirements) and state whether this position arises from legislation, case law or other source(s) of law:

- c) No
- d) Other: please specify
- e) Such mechanisms are unnecessary – the surrogacy contract is sufficient to establish the legal parentage of the intending parents.
- f) Such mechanisms are unnecessary – the intending parents will be the legal parents upon the birth of the child by operation of law: see the response to **Question 26** above.

28. Where a surrogacy arrangement has been undertaken in your State, will the fact of the surrogacy arrangement be visible on the child's birth record and / or certificate?

Yes: please describe exactly what will be on the record and / or certificate In case the child was delivered by a woman in whose organism was transferred a human's embryo conceived by a spouse at a result of use of ART the state registration of birth is made on the basis of application of spouses who gave their consent on such transfer. In this case along with document confirming the fact of child's birth by this woman also is filed an application with her consent on recording the spouses as child's parents, authenticity of signature on which has to be notarially certified, as well as a certificate on genetic relationship of the parents (mother or father) with fetus. Herewith in the section "for notes" of the act record on birth is made such record: "The mother of the child in accordance with medical certificate on birth is Ms. (surname, first name, father's name)", and also is indicated the name of the institution that issued th certificate, its date of issuance and number, information about notary (surname and initials, notary district of state notary office), date and registration number under which the the authenticity of the woman's signature on her application on consent to record the spouses as child's parents.

No: please explain

29. Is information available concerning how many surrogacy arrangements take place in your State each year and how many children are born as a result?

- Yes: please provide a copy of, or a link to, the information
- No
- Other: please explain

30. Are you aware of any illegal practices in your State concerning surrogacy arrangements?

- Yes – please state the practices of which you are aware and, if possible, how frequently they are occurring:
 No
 Other: please explain

E. Contestation of legal parentage

31. Which authority(ies) in your State may determine a dispute concerning legal parentage?

- a) The authority responsible for birth registration (see **Question 1** above)
 b) The judicial authorities (*i.e.*, the courts): please specify Courts
 c) Other State administrative authorities: please specify
 d) Other: please explain

32. Who may bring an action to challenge legal parentage?

- a) Only the individuals currently considered to be the legal mother and / or father and / or the child
 b) Any person claiming to be the legal mother and / or father of a child
 c) Any person determined by the State authorities to have sufficient interest to bring a claim: please explain how the authorities determine this question
 d) Any person
 e) Other: please explain The paternity of the child may be contested by a person, currently considered to be the legal father or his successors in case of his death; the paternity as well as maternity of the child may be contested by the mother of the child.

33. Is there a timeframe within which legal parentage must be challenged (*i.e.*, after which time, any challenge to legal parentage is effectively barred)?

- Yes, please specify: In accordance with Article 129 of the Family Code of Ukraine limitation of action for a claim for paternity acknowledgement is one year. The term begins from the day, when person found out or could find out about his paternity. One year limitation of action is stipulated for the claim for acknowledgement of maternity, which begins from the day when the person found out or could find out about her maternity (Para 3 of Article 139 of the Family Code of Ukraine).

A person, recorded as the father of a child in accordance with Articles 122, 124, 126 and 127 of the Family Code of Ukraine (a person, who is not married to the child's mother) has a right to contest his paternity filing the suit on record exception of him as the father's child from the act record of the child's birth. Contesting the paternity is possible only after child's birth and before he/she attains the age of majority.

Limitation does not apply to the claim of a man on record exception of him as the father's child from the act record of the child's birth (Articles 136, 137 of the Family Code of Ukraine).

A woman, who gave birth to a child in marriage, has a right to contest the paternity of her husband filing the claim on record exception of him as the father's child from the act record of the child's birth. It is established one year limitation to the mother's claim to amend the act record of the child's birth, which begins from the day of the child's birth registration.

- No
 In certain situations: please explain

34. On what grounds may legal *maternity* be challenged before the authorities in your State?

- a) Only on the basis that the "mother" did not, in fact, give birth to the child
- b) Only on the basis that the "mother" is not, in fact, the genetic mother of the child (*i.e.*, the woman from whom the gamete (egg) came)
- c) Other: please explain A women which is recorded as a child's mother, may contest her maternity.

A women who considers herself a child's mother may file a claim to a women who is recorded as a child's mother on acknowledgment of her maternity. Contestation of the maternity is not allowed in cases prescribed in para 2 and 3 of Article 123 of Family Code of Ukraine (*i.e.* in case of use of ART).

35. On what grounds may legal *paternity* be challenged before the authorities in your State?

- a) Only on the basis that the "father" is not, in fact, the genetic father of the child (*i.e.*, the man from whom the gamete (sperm) came)
- b) Other: please explain In case of proving the absence of genetic tie between the person, who is recorded as a father, and a child the court decides to exclude the information on person as a child's father from the child's birth record.

The person recorded as a child's father cannot contest the paternity if in the moment of the registration as a child's father he knew that he had not been a father, as well as a person who consented on use of ART in accordance with Article 123 of Family Code of Ukraine.

36. What are the legal consequences of a successful challenge concerning legal parentage?

- a) The decision of the State authorities concerning legal parentage is binding *erga omnes* (*i.e.*, on all persons, for all purposes)
- b) The decision of the State authorities will only be binding for limited, specific purposes: please explain
- c) It depends upon the context in which the challenge has been made (*e.g.*, if in the context of a maintenance claim, it will be binding only as concerns the payment of maintenance): please explain
- d) Other: please explain

37. What happens to a child's birth record and / or birth certificate when legal parentage is successfully challenged?

- a) The birth record and / or certificate is revised and the old record and / or certificate will be permanently deleted
- b) The birth record and / or certificate is amended but the original record and / or certificate is retained
- c) Other: please explain The birth record is amended and retained, the old certificate is destroyed and a new one with amendments is issued.

F. The acquisition of nationality by children

38. How may a child acquire the nationality of your State?

Please tick all which apply:

- a) By birth within the territory of the State
- b) By "descent", where one or both of his / her *legal* parents is / are a national of the State: please explain which law, in this context, will apply to the question

- of who is / are the child's *legal* parents for the purposes of determining nationality: the internal law
- c) By "descent", where one or both of his / her *genetic* parents is / are a national of the State: please explain how the genetic link must be evidenced:
- d) If the child would otherwise be "stateless" (*i.e.*, without the nationality of any other State):¹⁶ please specify
- e) Other: please specify A child born within the territory of Ukraine, one of whose parents was granted a refugee status or asylum in Ukraine, and which derived the nationality of that parent who has a refugee status or asylum in Ukraine, is a national of Ukraine. A newborn child found within the territory of Ukraine whose both parents are unknown is a national of Ukraine (Article 7 of the Law of Ukraine "On nationality").

G. Legal developments

39. Please state whether the law in your State concerning the matters covered in **Part I** above (*i.e.*, birth registration, establishment and contestation of legal parentage, ART, surrogacy and nationality concerning children) has changed in the past five years, or whether any initiatives are underway (*e.g.*, in government, before parliament or before the courts) to change the law in future:

The law has not changed in the past five years.

40. Please provide any other information you consider relevant concerning cases (reported, or otherwise) or other developments which have occurred in your State in relation to the Questions appearing in **Part I** above.

Part II: Private international law ("PIL") and co-operation rules concerning birth registration and the establishment, recognition and contestation of legal parentage

A. PIL and co-operation rules concerning birth registration

41. Please explain when the relevant authorities in your State will assume (international) jurisdiction to register the birth of a child:
- a) Only when the child is born on the territory of the State
- b) When at least one of the putative *legal* parents is a national of the State, regardless of the place of birth of the child
- c) When at least one of the putative *genetic* parents is a national of the State, regardless of the place of birth of the child
- d) When the child is considered a national of the State,¹⁷ regardless of the place of birth of the child
- e) Other, please specify: State registration of the civil status acts upon the applications of foreigners, stateless persons is made in accordance with legislation of Ukraine.

¹⁶ As to which, see note 4 above, citing Art. 7 of the UNCRC.

¹⁷ As to which, see **Question 38** above.

42. When registering a child's birth, which law will the relevant authorities apply to the question of who is / are the legal parent(s) of the child *by operation of law*?¹⁸

- a) The *lex fori* (i.e., the internal law of your State) is always applied by the relevant authorities
- b) If the situation has foreign elements (e.g., the putative parent(s) or child are foreign nationals, or the child was born overseas, etc.), foreign law may, or must, be applied to this question. Please specify the applicable law rules: According to Article 65 of the Law of Ukraine "On Private International Law" establishing and contesting the parentage is defined by the child's personal law at the moment of his/her birth.
- c) Other: please specify

43. Have your State authorities ever encountered difficulties resulting from a child's birth being registered in two (or more) States (e.g., the child is registered in your State as the State of birth, and in the State of the parents' nationality and the registers conflict)?

- Yes: please explain the circumstances of the case(s) and the difficulties which arose:
- No

44. Are there any bilateral or multilateral¹⁹ agreements in force between your State and any other State such that:

- a. When a child's birth is registered which involves foreign elements (e.g., one or more foreign national parents), this information is communicated to the authorities in the other relevant State?

- Yes: please specify The bodies of state registration of civil status acts notify the competent authorities of foreign states with which Ukraine has concluded the treaties on legal assistance and legal relations in civil and family matters on state registration of the civil status acts of the nationals of such states if provided by the relevant treaties (Order of the Ministry of Justice of Ukraine of October, 18, 2000 № 52/5 "On adoption of the Rules of state registration of the civil status acts in Ukraine"). E.g., Poland, Moldova, etc.
- There are no formal agreements but this may happen in practice: please explain
- No

- b. When there are changes to a child's legal parentage *subsequent to* birth registration as a result of steps taken in your State (e.g., due to a subsequent voluntary acknowledgement of paternity in your State, or a contestation of legal parentage, etc.), this information is communicated to the relevant authorities in the State of the child's birth (where this is not your State)?

- Yes: please specify
- There are no formal agreements but this may happen in practice: please explain
- No

B. PIL rules concerning the establishment of legal parentage

¹⁸ Please note that the applicable law rules identified in your response to this Question may be the same as the rules you identify in **Question 45** below.

¹⁹ E.g., the *Conventions on the international exchange of information relating to civil status* of 4 September 1958 and of 12 September 1997 or the *Convention on the recognition and updating of civil status booklet*, 5 September 1990, all adopted under the aegis of the International Commission on Civil Status (< www.ciec1.org >).

By operation of law or agreement

45. Does your State have rules specifying which law is applicable to the establishment of legal parentage by operation of law?²⁰

- Yes: please specify According to Article 65 of the Law of Ukraine "On Private International Law" establishing and contesting the parentage is defined by the child's personal law at the moment of his/her birth.
- No: the *lex fori* (i.e., the internal law of the State) will always be applied where a question of legal parentage arises

46. Does your State have rules specifying which law is applicable to the establishment of legal parentage by agreement?

- Yes: please specify
- No: the *lex fori* (i.e., the internal law of the State) will always be applied where a question of legal parentage arises
- Not applicable: it is not possible to establish legal parentage by agreement

By voluntary acknowledgement

47. Please explain in which circumstances your State authorities will consider they have (international) jurisdiction to accept a voluntary acknowledgement of legal parentage by a putative parent:

Please tick all which apply:

- a) When the child is (habitually) resident in your State: please specify
- b) When the child is a national of your State
- c) When the individual acknowledging the child is (habitually) resident in your State: please specify
- d) When the individual acknowledging the child is a national of your State
- e) Other: please specify

Please specify whether these criteria (i.e., the (habitual) residence / nationality of the individual(s) – depending upon the boxes ticked above) have to be fulfilled:

- i. At the time of the child's birth
- ii. At the time of the acknowledgement, or
- iii. At another time: please specify

48. Which law will be applied to determine: a) the formal validity of the acknowledgement; and b) the substantive validity of the acknowledgement, i.e., whether it validly establishes legal parentage?

Please tick all which apply:

- a) For the formal validity of the acknowledgement:
- i. The *lex fori* (i.e., the internal law of your State)
- ii. The law of the State of the child's nationality
- iii. The law of the State of the (habitual) residence of the child: please specify
- iv. The law of the State of the putative parent's nationality
- v. The law of the State of the putative parent's (habitual) residence: please specify
- vi. Other: please specify
- b) For the substantive validity of the acknowledgement:
- i. The *lex fori* (i.e., the internal law of your State)

²⁰ Please note that the applicable law rules identified in your response to this Question may be the same as the rules you identified in **Question 42** above.

- ii. The law of the State of the child's nationality
- iii. The law of the State of the (habitual) residence of the child:
please specify
- iv. The law of the State of the putative parent's nationality
- v. The law of the State of the putative parent's (habitual) residence:
please specify
- vi. Other: please specify

Please state whether, in relation to a) and / or b), one or more of these laws will be applied (possibly in a "cascade"), with a view to favouring the establishment of legal parentage:

Please specify, in relation to a) and b), whether these criteria (*i.e.*, the (habitual) residence / nationality of the individual(s) – depending upon the boxes ticked above) have to be fulfilled: (1) at the time of the child's birth; (2) at the time of the acknowledgement; or (3) at another time (in which case, please specify):

- a) (1)
- b) (1)

C. PIL rules concerning the recognition of legal parentage established abroad

Birth certificates

49. Please explain when, if ever, a birth certificate drawn up in another State will be recognised in your State *as validly establishing the legal parentage of those persons recorded within it (i.e., the recognition of the content of the certificate)*.²¹

Please explain in your answer:

- a) which authorities are responsible for determining whether the foreign birth certificate will be recognised;
- b) the procedure which must be undertaken for recognition;
- c) any conditions for recognition (*e.g.*, the birth certificate must be authenticated by way of legalisation or apostille²²); and
- d) any grounds of *non*-recognition.

There is no special authority in Ukraine responsible to determine whether the foreign birth certificate is to be recognized.

According to Article 13 of the Law of Ukraine "On International Private Law" documents, issued by the competent authorities of foreign countries in the prescribed form, are recognized as valid in Ukraine in case of their legalization, unless otherwise provided by law or international treaty of Ukraine.

No other special procedure is required for recognition of the foreign birth certificate by the Ukrainian authorities. Situations when duly legalized or apostillized foreign birth certificate was not recognized in Ukraine have not been reported by now.

If public policy ("*ordre public*") is a ground for the non-recognition of a foreign birth certificate, please explain, with reference to case law where applicable, the interpretation of this concept in your State in this context:

Voluntary acknowledgements

²¹ It is understood that, from time to time, this issue may arise in the course of other proceedings (*e.g.*, proceedings concerning succession or maintenance).

²² See, in this regard, the *Hague Convention of 5 October 1961 Abolishing the Requirement of Legalisation for Foreign Public Documents*, available on the Hague Conference website at < www.hcch.net > under "Apostille Section".

50. Please explain when, if ever, a voluntary acknowledgement undertaken in another State will be recognised in your State *as validly establishing the legal parentage of the author of the acknowledgement* (i.e., the recognition of the content of the acknowledgement).²³

Please explain in your answer:

- a) which authorities are responsible for determining whether the foreign acknowledgement will be recognised;
- b) the procedure which must be undertaken for recognition;
- c) any conditions for recognition; and
- d) any grounds of *non*-recognition.

If such acknowledgement is made in form of simple written statement abroad, then, to be valid in Ukraine, it should be legalized or apostilled, unless otherwise provided by law or international treaties of Ukraine. See answer to Question 49.

If public policy ("*ordre public*") is a ground for the non-recognition of a foreign acknowledgment of parentage, please explain, with reference to case law where applicable, the interpretation of this concept in your State in this context:

Decisions of judicial authorities

51. Please explain when a decision of the relevant judicial authority of another State establishing a child's legal parentage (i.e., a court judgment or order) will be recognised in your State as validly establishing legal parentage.²⁴

Please explain in your answer:

- a) which authorities are responsible for determining whether the foreign decision will be recognised;
- b) the procedure which must be undertaken for recognition;
- c) any conditions for recognition; and
- d) any grounds of *non*-recognition.

Pursuant to the provisions of Article 399 of the Civil Procedural Code of Ukraine the foreign court decision that does not require the enforcement shall be recognized in Ukraine if its recognition is foreseen by international treaties of Ukraine or according to a principle of reciprocity. A motion for the recognition of a foreign court decision shall be applied to the court together with following documents translated into Ukrainian: certified copy of the judgement to be recognised, official document certifying that the judgement is in force unless it is stated in the judgement. Interested person can file objections to the recognition of foreign judgement.

Grounds for non-recognition are normally provided by the relevant international treaty on the basis of which the recognition is sought. In its absence the recognition may be rejected if:

- 1) the foreign judgement is not yet in force in accordance with the legislation of the State, where it was delivered;
- 2) the party to which the judgement concerns was deprived of the possibility to participate in the proceedings because he/she was not duly notified about the consideration of the case;
- 3) the judgement was delivered in case, consideration of which is within the exclusive competence of court or other authority of Ukraine empowered by law;
- 4) if there is judgement of the Ukrainian court in a dispute between the same parties on the same subject and on the same grounds that entered into legal force or if there is case in a dispute between the same parties on the same subject and on the same

²³ It is understood that, from time to time, this issue may arise in the course of other proceedings (e.g., proceedings concerning succession or maintenance).

²⁴ *Ibid.*

grounds pending in the Ukrainian court filed before the proceeding in the foreign court were opened;

- 5) in case of default of the term for judgement's enforcement in Ukraine, provided by
- 6) if the subject of the dispute under the laws of Ukraine is not subject to judicial consideration;
- 7) if execution of the judgement would threaten to the interests of Ukraine;
- 8) in other cases established by laws of Ukraine.

If public policy ("*ordre public*") is a ground for the non-recognition of a foreign decision, please explain, with reference to case law where applicable, the interpretation of this concept in your State in this context:

52. Please specify the *effects* of the recognition of the legal parentage established abroad (see **Questions 49 to 51** above) and which law(s) govern(s) these effects:

Since the legal parentage established abroad is recognised in Ukraine it has the effects envisaged by the laws of Ukraine. According to Article 66 of the Law of Ukraine "On Private International Law" the rights and obligations of the parents and children are defined by the child's personal law or the law which has the close connection with relevant relations and is more favored for a child.

D. PIL rules concerning the contestation of legal parentage

53. Please explain when the relevant State authorities (which you identified in **Question 31** above) have (international) jurisdiction to determine a dispute concerning a child's legal parentage:

- a) When the child whose parentage is disputed is (habitually) resident in your State: please specify
- b) When the child whose parentage is disputed is a national of your State
- c) When a putative parent disputing legal parentage is (habitually) resident in your State: please specify
- d) When a putative parent disputing legal parentage is a national of your State
- e) Other: please specify

Please specify whether these criteria (*i.e.*, the (habitual) residence / nationality of the individual(s) – depending upon the boxes ticked above) have to be fulfilled:

- i. At the time of the child's birth
- ii. At the time the relevant State authorities are seised with the dispute, or
- iii. At another time: please specify

54. In the context of a contestation of legal parentage, which law will the authorities apply to the question of who is / are the legal parent(s) of a child?

Please tick all which apply:

- a) The *lex fori* (*i.e.*, the internal law of your State)
- b) The law of the State of the child's nationality
- c) The law of the State of the (habitual) residence of the child:
please specify
- d) The law of the State of the putative parent's nationality
- e) The law of the State of the putative parent's (habitual) residence:
please specify
- f) Other: please specify

Please state whether one or more of these laws will be applied (possibly in a “cascade”), with a view to favouring the establishment of legal parentage:

E. “Cross-border reproductive care”²⁵ and the consequences for legal parentage

55. If persons resident in your State access ART services in another State, are there any specific PIL rules concerning the establishment of the legal parentage of the child born as a result (e.g., the law of the State in which the ART service was obtained will apply to the question of the child’s legal parentage)?

- Yes: please explain
 No
 Other: please explain

56. Are you aware of any cases, other than international surrogacy cases (see **Part III** below), where difficulties concerning the establishment or recognition of a child’s legal parentage have arisen as a result of individuals resident in your State accessing ART services in another country, or individuals resident abroad accessing ART services in your State?

- Yes: please provide details
 No

F. Legal developments and practical challenges

57. Please state whether the law in your State concerning the matters covered in **Part II** above (i.e., the PIL and co-operation rules concerning birth registration and the establishment, recognition and contestation of legal parentage) has changed in the past five years, or whether any initiatives are underway (e.g., in government, before Parliament or before the courts) to change the law in future:

No

58. Other than cases involving international surrogacy arrangements (as to which see **Part III** below), are there any other cases of which you are aware where difficulties have arisen in your State in relation to establishing, contesting, or recognising a child’s legal parentage as a result of the cross-border movement of the child and / or his / her putative parents?

(Some possible examples can be found at Part IV (b) of Prel. Doc. No 11 of March 2011.)

Please provide as much detail as possible concerning the situation which arose and the difficulties encountered:

Part III: The particular challenges concerning International Surrogacy Arrangements²⁶ (“ISAs”)

This Part asks for information regarding the experience of State authorities with ISAs. It is divided into two sections:²⁷

²⁵ In this paper, “cross-border reproductive care” is taken to mean when person(s) who wish to access ART services cross a border to do so, for whatever reason (often it is linked with the restrictive rules in their “home” jurisdiction, lower costs or better success rates abroad).

²⁶ See the **Glossary** for the definition of the terms used in this paper.

- **Section A** asks a number of questions concerning “**Incoming cases**” of ISA: i.e., those cases in which your State was the State of residence of the intending parents, and the State to which it was sought to bring child(ren), born to a surrogate abroad, to live.
- **Section B** continues with questions concerning “**Outgoing cases**” of ISA: i.e., those cases where your State was the State in which the surrogate mother gave birth and from which the child(ren) needed to leave to travel to the State of residence of the intending parents in order to live with them in that State.

A. Incoming cases²⁸

- This Section is inapplicable – there have been no “Incoming cases” of ISA in this State. Go to Section B.**

Basic empirical data

59. Do you have any information concerning:

- a) The number of **Incoming cases** of ISA which have required the assistance of your State authorities in recent years?

Yes – please provide any figures available:

Pre-2009

2009

2010

2011

2012

2013 (so far)

No information is available

- b) How many children have been born to surrogate mothers in another State as a result of ISAs involving intending parents resident in your State?

Yes – please provide any figures available:

Pre-2009

2009

2010

2011

2012

2013 (so far)

No information is available

If you have provided any figures above, please comment upon whether you consider that these figures reflect the true number of Incoming cases of ISA involving your State²⁹ and, further, please provide a general comment concerning the prevalence of

²⁷ Please note: it is understood that, in relation to both “Incoming” and “Outgoing” cases, there may be more than two States involved in a particular case: e.g., the surrogate may be resident in a different State to the State in which she travelled (or, in some cases, was trafficked) to give birth; in other cases, a gamete donor may be resident in a third State etc. Please explain any such elements in your responses to the questions in this part.

²⁸ I.e., those cases where your State was the State of residence of the intending parent(s), and the State to which it was sought to bring the child(ren), born to a surrogate abroad, to live.

²⁹ Since it is recognised that there may be many cases which do not come to the attention of the State authorities.

Incoming cases of ISAs and whether such cases have increased in the past five years:

If you have not provided figures above, are you able to provide any comment concerning the prevalence of Incoming cases of ISAs involving your State and / or whether this has increased in the past five years:

60. Please list all the States in which intending parents resident in your State have engaged a surrogate mother (*i.e.*, entered into an ISA), insofar as you are aware:

The procedure for Incoming cases of ISA

61. Please explain the procedure, including any immigration and legal processes, which intending parents resident in your State need to undertake (before leaving your State, before returning to your State or upon return to your State, as applicable) in order to:

- a) Enter your State with a child born abroad as a result of an ISA:
- b) Reside permanently in your State with the child:
- c) Have their legal parentage recognised (or established) under the law of your State (*if* this is possible):

Please include an estimate of the time it may take to complete each stage of this process:

- a)
- b)
- c)

If the answer to this question varies depending upon the factors set out below, please provide a brief explanation, including the impact the particular factor has on the procedure which must be undertaken and any timeframes.

Please tick all which apply:

- i. The country in which the child was born: please explain
- ii. The particular document(s) produced by the intending parents to establish their legal parentage (*e.g.*, a birth certificate, voluntary acknowledgement, court decision, etc.): please explain
- iii. Whether the child(ren) is / are genetically related to one or both of the intending parents: please explain
- iv. The nationality of the intending parents: please explain
- v. Any other factor: please explain

62. Have your State authorities engaged in cross-border administrative or judicial co-operation with the authorities in any other State in order to resolve an ISA case?

- Yes – please specify:
- a) Which authorities in each State were involved:

- b) The nature of the co-operation:
 - c) Whether the co-operation resulted from legislation, guidelines or practice:
 - d) The result:
- No

63. In your experience, which documents are generally produced to your State authorities to substantiate the claim of the intending parents to an ISA to legal parentage?

Please tick all which apply:

- a) A birth certificate from the State of birth, including the name(s) of the intending parents: please state from which countries these birth certificates originate and any other details necessary:
- b) An amended birth certificate from the State of birth, including the name(s) of the intending parents: please state from which countries these amended certificates originate and any other details necessary:
- c) A pre-birth court order from the State of birth: please state from which countries these orders originate and any other detail necessary:
- d) A post-birth court order from the State of birth (*i.e.*, transfer of parentage): please state from which countries these orders originate and any other details necessary:
- e) An adoption order from the State of birth: please state from which countries these orders originate and any other details necessary, including whether use of the 1993 Convention³⁰ was attempted by either State³¹:
- f) Other: please specify

If possible, please state (using the relevant letter) which of the above documents your authorities are presented with most frequently in these cases:

64. In general, what is the outcome of these cases in your State for the child:

Please tick all which apply and provide as much detail as possible:

- a) The child is able to travel to your State and reside there with the intending parents *and* the intending parents are recognised as the legal parents of the child.

Please explain how this is achieved:

- b) The child is able to travel to your State and reside there with the intending parents *but* one or both of the intending parents is not able to be recognised as the child's legal parents: please explain
- c) The child is not able to travel to your State and has to remain in the State of his / her birth: please explain why and what has happened to the children in such cases
- d) Other: please explain

If possible, please state which of the four outcomes above occurs most frequently in your State:

The challenges encountered

65. In general, which of your State authorities are involved in assisting those who encounter difficulties as a result of Incoming cases of ISA?

Please tick all which apply and provide information concerning their particular role:

- a) The embassies / consulates in the State of the child's birth

³⁰ Full title: the *Hague Convention of 29 May 1993 on Protection of Children and Co-operation in Respect of Intercountry Adoption* (hereinafter, "1993 Convention"). For further information, please see < www.hcch.net >, under "Intercountry Adoption Section".

³¹ See the Conclusions and Recommendations of the Special Commission meeting on the practical operation of the 1993 Convention (17-25 June 2010), which concluded that use of the 1993 Convention in cases of international surrogacy arrangements was "inappropriate", paras 25-26.

- b) The immigration authorities
- c) The judicial authorities (*i.e.*, the courts): please specify which
- d) The Ministry of Justice (or equivalent)
- e) The Ministry of Foreign Affairs (or equivalent)
- f) The Ministry of Health (or equivalent)
- g) The Ministry of Social Affairs (or equivalent)
- h) Other: please explain

66. Please explain any legal problems your State has encountered in relation to Incoming cases of ISA.

Please tick all boxes which apply and provide explanations, including the other country(ies) involved in the ISA. Where reported cases are referred to please provide the full text of the judgment, including a translation into English or French where possible.

Problems relating to:

- a) The child being able to leave his / her State of birth:
- b) The child being able to enter your State:
- c) The child being able to reside in your State:
- d) The child's nationality:
- e) The child's legal parentage:
- f) The behaviour of any party(ies) which is criminal according to international legal standards or according to the domestic rules of the countries involved (*e.g.*, trafficking, sale of children, exploitation of women, etc.):
- g) The treatment of the surrogate mother in any State (*e.g.*, whether her consent was free and informed, concerning the financial payments made to her, the medical care provided, etc.): please specify
- h) The breakdown of the ISA: *e.g.*, due to either of the parties reneging on the agreement, for example, due to the child's disability or ill health, the wish of the surrogate mother to keep the child, the relationship breakdown of the intending parents or the clinic using the wrong gametes or another reason, etc.: please explain
- i) Other: please explain

Please provide any further information you consider relevant concerning the above problems:

67. Using the categories set out below, please provide any available information concerning the costs intending parents resident in your State have paid for an ISA. If, in your experience, costs have varied significantly depending upon the country in which the surrogate mother resided or gave birth, please specify per country.

Please tick all which apply and provide any known figures and a description of to whom the money was paid and for what service:

- a) Medical costs:
- b) Legal costs:
- c) Fees or other costs paid to an intermediary: *e.g.*, a surrogacy agency:
- d) Expenses and / or other costs paid to the surrogate mother:
- e) Other: please explain

Areas of concern

68. Do any of the following areas give your State authorities cause for concern in these cases?

In each case, where possible, please specify your particular concerns in the field provided:

- a) The uncertainty of the legal status of children born to ISAs, in particular in terms of their legal parentage:
- b) The nationality of children born to ISAs:
- c) The right of children born to ISAs to know their (genetic and birth) origins:
- d) The surrogate mother's free and informed consent to the surrogacy arrangement:
- e) The psychological impact of an ISA on the surrogate mother:
- f) The medical or other care provided to the surrogate mother:
- g) The financial aspects of ISAs:
- h) The competency and / or conduct of the intermediaries involved (lawyers, agencies, etc.):
- i) The (mis)-information provided to intending parents or surrogate mothers:
- j) The eligibility and / or suitability of the intending parents to care for the child (*e.g.*, in terms of age, criminal records, psycho-social suitability, etc.):
- k) Contractual matters: *e.g.*, issues surrounding the enforceability of the surrogacy agreement or the capacity of any of the parties to enter into the agreement:
- l) Other:

Please state (using the letters above) which of the concerns you have identified above are the most troubling:

Legal developments

69. Does your State have any legislation, rules or guidance which has been specifically enacted or drawn up for intending parents resident in your State engaging in ISAs?

- Yes: please explain
- No

If your State is contemplating any such legislation, rules or guidance in future, please provide further information:

70. Please provide any other information you consider relevant concerning your State's experience of Incoming cases of ISA:

B. Outgoing cases³²

This Section is inapplicable – there have been no “Outgoing cases” of ISA in this State. Go to Part IV.

Basic empirical data

71. Do you have any information concerning:

- a) The number of **Outgoing cases** of ISA which have required the assistance of your State authorities in recent years?

- Yes – please provide any figures available:
 - Pre-2009
 - 2009
 - 2010
 - 2011
 - 2012
 - 2013 (so far)

³² *I.e.*, those cases where your State is the State in which the surrogate mother gives birth and from which the child has to leave to travel to the place of residence of his / her intending parents.

- No information is available
- b) How many children have been born to surrogate mothers in your State as a result of ISAs involving intending parents resident in another State?
- Yes – please provide any figures available:
 Pre-2009
 2009
 2010
 2011
 2012
 2013 (so far)
- No information is available

If you have provided any figures above, please comment upon whether you consider that these figures reflect the true number of Outgoing cases of ISA involving your State³³ and, further, please provide a general comment concerning the prevalence of Outgoing cases of ISAs and whether such cases have increased in the past five years:

If you have not provided figures above, are you able to provide any comment concerning the prevalence of Outgoing Cases of ISAs involving your State and / or whether this has increased in the past five years? Taking into account that surrogacy is financially and timely quite advantageous in Ukraine we suppose that Outgoing cases of ISAs from Ukraine greatly prevale over Incoming cases.

72. Please list any States in which intending parents, engaging a surrogate mother in your State by way of ISA, have been resident:

United States of America, Austria, Israel, Hungary, Germany, etc.

The procedure for Outgoing cases of ISA

73. In general, which of your State authorities are involved in assisting those who undertake an ISA with a surrogate mother in your State?

Please tick all which apply and provide information concerning their particular role:

- a) The immigration authorities: please specify
 b) The judicial authorities (*i.e.*, the courts): please specify which
 c) The Ministry of Justice (or equivalent)
 d) The Ministry of Foreign Affairs (or equivalent)
 e) The Ministry of Health (or equivalent)
 f) The Ministry of Social Affairs (or equivalent)
 g) Other: please explain notaries

74. Do foreign-resident intending parents require a visa or any other immigration document(s), or do they have to meet any other conditions, to *enter* your State to be able to undertake the necessary meetings and medical procedures to enter into an ISA in your State?

Please tick all which apply:

- Yes, they have to meet the following conditions – please specify:
 Yes, they have to obtain a visa or other immigration document(s) – please specify what type of document is required, the conditions for obtaining it and the procedure:
 No

³³ Since it is recognised that there may be many cases which do not come to the attention of the State authorities.

- It depends upon the State of residence or nationality of the intending parents: please specify In general, there are no special requirements for entering Ukraine specially with the aim to enter into ISA, except, where applicable, the general visa obtaining requirements.

75. Is the law of the State of the habitual residence of the intending parents taken into account in any way when considering whether intending parents are permitted to undertake an ISA in your State (e.g., where this law forbids surrogacy arrangements).³⁴

- Yes – please explain how this law is taken into account:
 No
 It depends upon the State of residence or nationality of the intending parents: please explain

76. How do foreign-resident intending parents, who are party to an ISA, obtain legal parentage for a child born to a surrogacy arrangement in your State?

*Your response to this question will likely depend upon your answer to **Questions 26 to 27** above.*

Please tick all which apply:

- a) They will be the legal parents by operation of law and therefore their names will be placed immediately on the child's birth certificate: please explain In case of transfer to the organism of another woman of human's embryo conceived by spouses (man and woman) at a result of use of ART the latter are the parents of a child according to Para 3 Article 123 of the Family Code of Ukraine. The procedure of obtaining the birth certificate in such case requires the application of spouses who gave their consent on transfer of human's embryo to the organism of another woman, document confirming the fact of child's birth by this woman, an application of this woman with her consent on recording the spouses as child's parents, authenticity of signature on which has to be notarially certified, and certificate on genetic relationship of the parents (mother or father) with fetus.
- b) They can obtain an amended birth certificate: please explain
- c) They can obtain a *pre*-birth court order confirming their legal parentage: please explain any conditions for obtaining such an order
- d) They can obtain a *post*-birth court order (*i.e.*, a transfer of parentage): please explain any conditions for obtaining such an order
- e) They can adopt the child: please explain, including whether use of the 1993 Convention has been attempted in this regard³⁵
- f) Other: please specify

Please explain the procedure for any of the options ticked above and any specific requirements which apply to *foreign*-resident intending parents: there are no special requirements for foreign-resident intending parents.

Please further specify whether the surrogate mother's consent, and her husband or partner's consent where applicable, is required for any of these procedures and the

³⁴ *E.g.*, see clause 34(19) of the *Indian Assisted Reproductive Technologies (Regulation) Bill 2010* still pending, explained in Prel. Doc. No 10 of March 2012 (note 1) at para. 45. In addition, the recent Indian visa requirements now impose on foreign nationals visiting India to undertake a surrogacy arrangement, a condition to similar effect: *i.e.*, that the intending parents must have a letter from the embassy of their State of residence indicating that the State recognises surrogacy and that the child born will be permitted to enter this State. See also the Ukrainian Draft Law No 0989 (former No 8282) on the restrictions pertaining to the use of assisted reproductive technology (rejected by the Parliament of Ukraine on 21 March 2013). This bill also envisaged, in particular, restrictions on the use of surrogacy arrangements for nationals of those States where surrogacy is prohibited by law.

³⁵ See note 31 above concerning the 2010 Special Commission meeting on the practical operation of the 1993 Convention which concluded that use of the 1993 Convention in cases of international surrogacy arrangements was "inappropriate".

consequences if this consent is not forthcoming at the relevant time: In order to enter into surrogacy programme, the intending surrogate mother has to present her own application as well as her husband's consent on her participation in the surrogacy program in the form provided by the Order on the use of assisted reproductive technologies in Ukraine, approved by the Ministry of Health of Ukraine on September 09, 2013 № 787.

77. Please explain the procedure, including any immigration and legal processes, which foreign-resident intending parents need to undertake in order to *leave* your State with a child born to a surrogacy arrangement:

There are no specific requirements for children with a foreign child travel document and a birth certificate containing information that his/her parents are foreign nationals are necessary in order to leave Ukraine.

According to Article 33 of the Constitution of Ukraine everyone, who stays on the territory of Ukraine on the legal grounds, is granted the freedom of movement, free choice of residence, a right freely to departure from the territory of Ukraine except restrictions, prescribed by the law.

The national of Ukraine cannot be deprived of the right to return to Ukraine in any time.

Referring to Article 22 of the Law of Ukraine "On the Single State Demographic Register and Documents, Confirming the Nationality of Ukraine, Identifying a Person or His/Her Special Status" the passport of the national of Ukraine for traveling abroad is a document identifying a person, confirming the nationality of Ukraine of the person abroad and gives to this person the right to departure from Ukraine and to entry to Ukraine.

In accordance with paragraph 7 Article 1 of the Law of Ukraine "On the Legal Status of Foreigners and Stateless Persons" foreigners and stateless persons staying on the territory of Ukraine on the legal grounds - are foreigners and stateless persons, who in accordance with order, established by the legislation or by the international treaty of Ukraine and permanently or temporarily reside on its territory or temporarily stay in Ukraine.

Entry and departure from Ukraine is carried out:

for foreigners and stateless persons - due to the passport with an appropriate visa, if other order of entry and departure does not foreseen by the legislation or by the international treaty of Ukraine;

for foreigners permanently residing on the territory of Ukraine - due to the passport and a permanent residence permit;

for stateless persons permanently residing on the territory of Ukraine - due to certificate of the stateless person for traveling abroad;

for foreigners and stateless persons, who where recognized as refugees in Ukraine or as the persons requiring additional protection in Ukraine - due to the travel abroad document;

for foreigners and stateless persons temporarily staying in Ukraine - due to the passport and temporary residence permit;

for foreigners - citizens of States, who can entry to Ukraine without visa in accordance with the legislation of Ukraine or the international treaty of Ukraine - due to the passport or other document if it is foreseen by the international treaty of Ukraine;

for foreigners - citizens of States, with which a treaty on the local border movement is concluded - due to the documents providing a right to cross the state border within the local border movement, issued the diplomatic missions or consular institutions of Ukraine upon the order, established by the Ministry of Foreign Affairs of Ukraine;

for foreigners and stateless persons performing military service under the contract in the Armed Forces of Ukraine - due to the passport and military ID of soldier, Sergeants and Master Sergeants (part 1 Article 15 of the Law of Ukraine "On the Legal Status of Foreigners and Stateless Persons").

Please state the time it may take to complete this process: Normally, to obtain a child's document for travelling abroad for a national of Ukraine takes up to 30 days. Meanwhile, a child born at a result of ART from foreign nationals is supposed to inherit the nationality of his/her parents (foreigners) and if so, to obtain the child's

travelling document in the diplomatic missions or consular institutions of the relevant foreign State.

If the answer to this question varies depending upon the factors set out below, please provide a brief explanation, including the impact the particular factor has on the procedure which must be undertaken and any timeframes.

Please tick all which apply:

- a) The country in which the intending parents live: please explain
- b) The particular document(s) produced by the intending parents to establish legal parentage (e.g., a birth certificate, voluntary acknowledgement, court decision, etc.): please explain child's travelling document obtained in the diplomatic mission or consular institution of the relevant foreign State
- c) Whether the child(ren) is / are genetically related to one or both of the intending parents: please explain
- d) The nationality of the intending parents: please explain
- e) Any other factor: please explain

78. Have your State authorities engaged in cross-border administrative or judicial co-operation with authorities in any other State in order to resolve an ISA case?

- Yes – please specify:
 - a) Which authorities in each State were involved:
 - b) The nature of the co-operation:
 - c) Whether the co-operation resulted from legislation, guidelines or practice:
 - d) The result:
- No

79. In general, what is the outcome of these cases in your State for the child?

Please tick all which apply:

- a) The child is able to leave your State, travel to the State of residence of the intending parents, reside there with the intending parents *and* the intending parents are recognised as the legal parent(s) of the child.
Please explain how this is achieved:
- b) The child is able to leave your State, travel to the State of residence of the intending parents, reside there with the intending parents *but* one or both of the intending parents are not able to be recognised as the child's legal parents: please explain
- c) The child is able to leave your State but is not able to travel to the State of residence of the intending parents and has to remain in your State: please explain what has happened to the children in such cases
- d) The child is not able to leave your State: please explain why and what has happened to the children in such cases
- e) Other: please explain

If possible, please state which of the five outcomes above occurs most frequently in your State:

The challenges encountered

80. Please explain any legal problems your State has encountered in relation to Outgoing cases of ISA.

Please tick all boxes which apply and provide explanations, including the other country(ies) involved. Where reported cases are referred to please provide the full text of the judgment, including a translation into English or French where possible.

Problems relating to:

- a) The child being able to leave your State:
- b) The child being able to enter the State in which his / her intending parents are resident:
- c) The child being able to reside in the State in which his / her intending parents are resident:
- d) The child's nationality:
- e) The child's legal parentage:
- f) The behaviour of any party(ies) which is criminal according to international legal standards or according to the domestic rules of the countries involved (*e.g.*, trafficking, sale of children, exploitation of women, etc.):
- g) The treatment of the surrogate mother in any State (*e.g.*, whether her consent was free and informed, concerning the financial payments made to her, the medical care provided, etc.): please specify
- h) The breakdown of the ISA: *e.g.*, due to either of the parties reneging on the agreement, for example, due to the child's disability or ill health, the wish of the surrogate mother to keep the child, the relationship breakdown of the intending parents or the clinic using the wrong gametes or another reason, etc.: please explain In 2009 the claimants applied to the reproductive technologies Clinic relative infertility. After the medical examinations the medical conclusion about the pregnancy impossibility was delivered. The claimants agreed for the proposal of the Clinic to take part in the in vitro fertilization Program, about what they submitted the application for the infertility treatment through assisted reproductive technologies.

By the personal database the surrogate mother was chosen. The surrogate mother gave her written consent for the surrogate maternity.

However, after the children's birth she had registered children as her own and refused to transfer the children to the biological parents.

The Ukrainian court of cassation – the Higher Special Court of Ukraine on Consideration of Civil and Criminal Matters – upheld the decision of the 1st instance court, which considered the case about the fact that the surrogate mother could not be registered as the legal mother on the children's vital records, if she had given her written consent for surrogate maternity.

According to the decision of the 1st instance court, upheld by the decision of the Court of Appeal, regardless the period of stay of the child (children) with the surrogate mother, the child (children) has (have) the right to reside with the biological parents. While delivering the decision, the courts cited the Article 7 of the Convention on the Rights of the Child, according to which the child shall have the right from birth to a name, the right to acquire a nationality and as far as possible, the right to know and be cared for by his or her parents.

By the Decision of the Court of 1st instance the claim on acknowledgment of paternity was satisfied. The Decision was left in force without changes by the higher court instances.

- i) Other: please explain

Please provide any further information you consider relevant concerning these legal problems:

81. Using the categories set out below, please provide any information you have concerning the costs intending parents resident abroad have paid for an ISA in your State. If, in your experience, costs have varied significantly depending upon the country in which the intending parents resided, please specify per country.

Please tick all which apply and provide any known figures and a description of to whom the money was paid and for what service:

- a) Medical costs:
- b) Legal costs:
- c) Fees or other costs paid to an intermediary: *e.g.*, a surrogacy agency:

- d) Expenses and / or other costs paid to the surrogate mother:
 e) Other: please explain Information is not available

Areas of concern

82. Do any of the following areas give your State authorities cause for concern in these cases?

In each case, where possible, please specify your concerns in the field provided:

- a) The uncertainty of the legal status of children born to ISAs, in particular in terms of their legal parentage:
 b) The nationality of children born to ISAs:
 c) The right of children born to ISAs to know their (genetic and birth) origins:
 d) The surrogate mother's free and informed consent to the surrogacy arrangement:
 e) The psychological impact of an ISA on the surrogate mother:
 f) The medical or other care provided to the surrogate mother:
 g) The financial aspects of ISAs:
 h) The competency and / or conduct of the intermediaries involved (lawyers, agencies, etc.):
 i) The (mis)-information provided to intending parents or surrogate mothers:
 j) The eligibility and / or suitability of the intending parents to care for the child (*e.g.*, in terms of age, criminal records, psycho-social suitability, etc.):
 k) Contractual matters: *e.g.*, issues surrounding the enforceability of the surrogacy agreement or the capacity of any of the parties to enter into the agreement:
 l) Other:

Please state (using the letters above) which of the concerns you have identified above are the most troubling:

Legal developments

83. Does your State have any legislation, rules or guidance which has been specifically enacted or drawn up for intending parents or surrogate mothers engaging in ISAs in your State?

- Yes: please explain
 No

If your State is contemplating any such legislation, rules or guidance in future, please provide further information:

84. Please provide any other information you consider relevant concerning your State's experience of Outgoing cases of ISA:

Part IV: Current bilateral or multilateral instruments in the field

85. Please list any bilateral, regional or multilateral instruments which currently, or may in future, bind your State concerning:

- a) Any matters related to the establishment, recognition or contestation of the legal parentage of children;

Ukraine has a number of bilateral international treaties on legal assistance in civil matters which contain the conflict of law's rules on parentage.

b) Any matters specifically related to surrogacy arrangements;

No

Please provide any detail you consider necessary:

Part V: Thoughts on possible future work

86. What, if any, are the **needs** you consider ought to be addressed by any possible future global work in this area?

Please specify:

87. Please provide your thoughts concerning the **approach** that should be taken in relation to any possible future regulation of any of the matters mentioned in this Questionnaire.

In this response, you may wish to consider and comment upon the thoughts set out in Section VIII of Preliminary Document No 11 of March 2011 and Section IV of Preliminary Document No 10 of March 2012.

*You may also wish to comment upon the **nature** of any possible future regulation: e.g., whether a binding instrument should be considered or whether approaches such as a model law, non-binding principles or guidance, etc. would meet the needs you identified in **Question 86** above.*

88. If the Hague Conference were to develop a global instrument on the private international law issues surrounding the status of children (in particular, the cross-border recognition of legal parentage), which of the following general features *may* be desirable to include in such an instrument?

Please tick all which apply (and please note that these features are not mutually exclusive and necessarily overlap):

The child's legal status

- a) Harmonisation of the private international law rules relating to the establishment, recognition and contestation of legal parentage: please comment
- b) Recognition *by operation of law* of child(ren)'s legal parentage established in one State Party, in compliance with the instrument's rules, in all other States Parties: please comment

Safeguards

- c) The establishment of safeguards (minimum standards) to ensure that any procedures for the establishment, recognition and contestation of legal parentage take place with respect for the fundamental rights and welfare of all parties involved, in particular the child(ren) concerned: please comment

Co-operation

- d) The establishment of a system for co-ordination, communication and co-operation between States in relation to the establishment, recognition and contestation of legal parentage. Such a system may include a clear division of responsibilities between States, as well as the establishment of defined channels for communication between the relevant authorities.

Please comment, including in relation to whether you see a need for the establishment of "central authorities" within such a system:

Other

- e) Other: please specify

89. If the Hague Conference were to develop a global instrument specifically on international surrogacy arrangements,³⁶ which of the following general features *may* be desirable to include in such an instrument?

Please tick all which apply (and please note that these features are not mutually exclusive and necessarily overlap):

The child's legal status

- a) Harmonisation of the private international law rules relating to the establishment, recognition and contestation of legal parentage, limited to legal parentage arising following a surrogacy arrangement: please comment
- b) Recognition *by operation of law* of child(ren)'s legal parentage established in one State Party following a surrogacy arrangement, in compliance with the instrument's rules, in all other States Parties: please comment
- c) Provisions concerning the child(ren)'s nationality: please comment

Safeguards

- d) The establishment of safeguards (minimum standards) to ensure that such arrangements take place with respect for the fundamental rights and welfare of all parties involved, *i.e.*, the child(ren) to be born, the surrogate mother and intending parents: please comment, including in relation to which elements you consider there ought to be such minimum standards (*e.g.*, the surrogate mother's free and informed consent, the child's right to know his / her origins, etc.)
- e) Safeguards (minimum standards) specifically in relation to the intermediaries involved in such arrangements (*e.g.*, surrogacy agencies, lawyers, etc.). These standards may be combined with a system of licensing and supervision to ensure compliance: please comment
- f) Safeguards (minimum standards) specifically in relation to medical institutions undertaking the procedures connected with international surrogacy arrangements: please comment

Co-operation

- g) The establishment of a system for co-ordination, communication and co-operation between States in relation to such arrangements. This may include a clear division of responsibilities between States, for example, such that: (a) the competent authorities of the State of the habitual residence of the intending parents would be responsible for determining that the intending parents are eligible to enter into the arrangement, and that any child born as

³⁶ See **Part III** of the Questionnaire above.

a result will be permitted to enter and reside in their State;³⁷ and (b) the competent authorities of the State in which the surrogate mother habitually resides would be responsible for determining that the surrogate mother is eligible and suitable to enter into the arrangement and that the child will be entitled to leave this State following his / her birth. The co-operation system could also include the creation of defined procedures which must be complied with by the parties to such arrangements.

Please comment, including in relation to whether you see a need for the establishment of "central authorities" within such a system: Yes

Other

h) Provisions concerning the financial aspects of international surrogacy arrangements: please comment

i) Other: please specify

90. What priority would you give working towards a future instrument on the private international law issues concerning the status of children (in particular, the cross-border recognition of legal parentage) generally?

a) High priority / urgent

b) Medium priority – desirable but not urgent

c) Low priority – possibly desirable but other projects should take priority

d) No priority – no further work should be done in this field

e) Other: please specify

91. What priority would you give working towards a future instrument specifically on the challenges occurring as a result of international surrogacy arrangements?

a) High priority / urgent

b) Medium priority – desirable but not urgent

c) Low priority – possibly desirable but other projects should take priority

d) No priority – no further work should be done in this field

f) Other: please specify

92. Please provide any other comment(s) you may have concerning the possible future global regulation of matters contained within this Questionnaire:

Thank you for your time: this information will greatly assist the work of the Permanent Bureau.

³⁷ Following the trend in some States in which international surrogacy arrangements are regularly entered into to take into account the legal position in the State of the habitual residence of the intending parent(s) before permitting the surrogacy arrangement to proceed: see note 34 above.