MAY / JUNE 2022 (TENTATIVE)

PREL. DOC. NO 2



| Title | Questionnaire on the practical operation of the HCCH 2000 Protection of Adults Convention |
|--------------------|---|
| Document | Prel. Doc. No 2 of September 2020 |
| Author | PB |
| Agenda item | TBD |
| Mandate(s) | C&R No 34 of the 2019 CGAP; C&D No 31 of the 2020 CGAP |
| Objective | To seek information as to the implementation and practical operation of the 2000 Convention in Contracting Parties; To identify challenges or questions that have arisen in the practical operation of the 2000 Convention in Contracting Parties; To seek information as to the implementation of the 2000 Convention in non-Contracting Party Members of the HCCH; To assist with the preparation of a draft Country Profile under the 2000 Convention in advance of the SC; To assist with the drawing-up of a draft Practical Handbook on the Operation of the 2000 Convention in advance of the SC; and To obtain views and comments about other issues for discussion at the upcoming meeting of the SC. Replies to the Questionnaire should be provided no later than 4 December 2020. |
| Action to be taken | For Approval For Decision For Information For Action |
| Annexes | |
| Related documents | Prel. Doc. No 1 of July 2019 – Questionnaire to assess the need to convene a possible meeting of the Special Commission in 2022 to review the practical operation of the Convention of 13 January 2000 on the International Protection of Adults Prel. Doc. 10 of December 2019 – Report on the planning for a first meeting of the Special Commission to review the practical operation of the HCCH 2000 Adults Convention |

Hague Conference on Private International Law – Conférence de La Haye de droit international privé secretariat@hcch.net | www.hcch.net

INTRODUCTION

Objectives of the Questionnaire

This Questionnaire is being circulated in preparation for a possible meeting of the Special Commission (SC) on the practical operation of the *HCCH Convention of 13 January 2000 on the International Protection of Adults*¹ (hereinafter, the "2000 Convention") to be held in The Hague in May / June 2022 (dates to be confirmed).

A first questionnaire was circulated in July 2019 to assess the need for a possible meeting of the Special Commission to review the practical operation of the 2000 Convention. Responses from 27 Members were collated and formed Prel. Doc. 10 of December 2019² for the attention of the 2020 Council on General Affairs and Policy (CGAP). The Conclusion & Decision³ No 31 from that meeting reads as follows:

"CGAP noted the progress made in organising the first meeting of the SC on the practical operation of the 2000 Protection of Adults Convention, to be held in May / June 2022. CGAP noted the possible topics recommended by HCCH Members in their responses to the questionnaire on this matter and encouraged the PB to focus its preparations on those topics identified as being of high interest, including by developing a Practical Handbook and, resources allowing, a Country Profile."

This Questionnaire is addressed primarily to Contracting Parties to the 2000 Convention, but certain questions (appearing in grey highlights) at the beginning of the Questionnaire and on powers of representation are also addressed to Members of the HCCH that are non-Contracting Parties.

After more than 10 years of operation of the 2000 Convention, the Questionnaire has the following broad objectives:

- a. To seek information as to the implementation and practical operation of the 2000 Convention in Contracting Parties;
- b. To identify challenges or questions that have arisen in the practical operation of the 2000 Convention in Contracting Parties;
- c. To seek information as to the implementation of the 2000 Convention in non-Contracting Party Members of the HCCH;
- d. To assist with the preparation of a draft Country Profile under the 2000 Convention in advance of the SC;
- e. To assist with the drawing-up of a draft Practical Handbook on the Operation of the 2000 Convention in advance of the SC; and
- f. To obtain views and comments about other issues for discussion at the upcoming meeting of the SC.

The Questionnaire is designed to facilitate an efficient exchange of information on these matters prior to the meeting of the SC and also assist with the drawing up of an agenda for the meeting.

The text of the 2000 Convention is available at: < https://assets.hcch.net/docs/c2b94b6b-c54e-4886-ae9f-c5bbef93b8f3.pdf>.

The text of Prel. Doc. No 10 of December 2019 is available at: < https://assets.hcch.net/docs/d0d3112b-56c1-42d4-b19a-a04beee01dc7.pdf >.

The Conclusions and Decisions of CGAP 2020 are available at: < https://assets.hcch.net/docs/70458042-f771-4e94-9c56-df3257a1e5ff.pdf >.

Scope of the Questionnaire

The Questionnaire covers all the provisions of the 2000 Convention with the exception of the final clauses (Arts 53-59). Where relevant, reference is made to the *United Nations Convention of 13 December 2006 on the Rights of Persons with Disabilities* (hereinafter the "UNCRPD") which the 2000 Convention may assist implementing for matters which may have cross-border implications.

In considering the questions that follow, Contracting Parties and non-Contracting Parties may find it useful to refer in particular to the new and revised edition of the Explanatory Report⁴ (ER) on the 2000 Convention drawn up by Professor Paul Lagarde.

Instructions for completion

The Questionnaire is being sent to Central Authorities designated under the 2000 Convention as well as to National and Contact Organs. Central Authorities as well as National and Contact Organs are invited to co-ordinate as appropriate with competent authorities⁵ in their respective States as well as stakeholders in this field (*e.g.*, guardians, curators and analogous institutions, notaries, lawyers, research / academic institutions, long-term care establishments, health care providers, financial institutions). For Contracting Parties to the Convention, Central Authorities are ultimately responsible for submitting the completed Questionnaire to the Permanent Bureau (PB).

In order to allow the PB to extract parts of the Questionnaire for a compilation and analysis of the responses, please use **this Word Version** of the document, and please **do not return a PDF version** of the completed Questionnaire.

We kindly request that replies to the Questionnaire be sent to the PB by e-mail to < secretariat@hcch.net > no later than 4 December 2020 with the following subject matter captioned in the heading of the e-mail: "[name of State] Response to the 2000 Convention Questionnaire – 2022 Special Commission". Any questions concerning the Questionnaire may be directed to < secretariat@hcch.net >.

The PB intends, except where expressly asked not to do so, to place all replies to the Questionnaire on the HCCH website (< www.hcch.net>). Please therefore clearly identify any responses which you do not want to be placed on the website.

Thank you for your kind co-operation.

The text of the Explanatory Report is available at: < https://assets.hcch.net/docs/1509ab33-c2fe-4532-981c-7aa4dad9ba45.pdf>.

The term "competent authorities" is used in this Questionnaire to refer to the judicial or administrative authorities with decision-making responsibility under the 2000 Convention. Whilst in the majority of States Parties such "authorities" will be courts (*i.e.*, judicial), in some States Parties administrative authorities are responsible for decision making in Convention cases.

QUESTIONNAIRE ON THE PRACTICAL OPERATION OF THE HCCH CONVENTION OF 13 JANUARY 2000 ON THE INTERNATIONAL PROTECTION OF ADULTS

Wherever the responses to this Questionnaire make reference to domestic legislation, rules, guidance or case law relating to the practical operation of the 2000 Convention, please provide a copy of the referenced documentation in (a) the original language and, (b) wherever possible, accompanied by a translation into English and / or French.

| Name of State or territorial unit:6 | Scotland |
|---------------------------------------|----------------------------|
| For follow-up purposes | |
| Date the Questionnaire was completed: | February 10 2021 |
| Name of contact person: | Angela Lindsay |
| Name of Authority / Office: | Scottish Central Authority |
| Telephone number: | 0131 244 0460 |
| E-mail address: | angela.lindsay@gov.scot |

Please note:

- Non-Contracting Parties to the 2000 Convention are requested to respond to all questions appearing under Part I as well as questions in Part II which numbers appear in grey highlight.
- Contracting Parties to the 2000 Convention are requested to complete all questions under Part II.

PART I – QUESTIONS FOR NON-CONTRACTING PARTY MEMBERS OF THE HCCH

| 1. | Is your State considering joining the 2000 Convention? | | |
|----|--|---|--|
| | | Yes No, if possible please explain: Please insert text here | |
| 2. | | idering how your State would implement the 2000 Convention, have you encountered ues of concern? | |
| | | No Yes, please explain: Please insert text here | |
| 3. | • | State considering joining the 2000 Convention with a view to implementing its ons under the UNCRPD, e.g., Articles 12 and 16 of the UNCRPD? | |
| | | Yes No, please explain: Please insert text here | |

The term "State" in this Questionnaire includes a territorial unit, where relevant.

PART II – PRACTICAL OPERATION AND IMPLEMENTATION OF THE 2000 CONVENTION

I – Significant developments in your State

1.1. Have there been any significant developments in your State regarding the legislation or procedural rules applicable in cases, including in international situations, of adults who, by reason of an impairment or insufficiency of their personal faculties, are not in a position to protect their interests? Where possible, please state the reason for the development in the legislation / rules (e.g., in connection with the implementation of the UNCRPD), and, where possible, the results achieved in practice:

No Yes, please describe:

In response to the Covid-19 pandemic emergency legislation affeting adults lacking capacity was brought in as part of a wider suite of emergency measures under the Coronavirus (Scotland) Act 2020. In March 2020 in the first wave of the pandemic the courts and the Office of the Public Guardian (OPG) were all but closed and there was very little availability of mental health officers and doctors. This meant that there was a danger that guardianships would fall because the reports required for renewal applications could not be obtained and the application could not be processed by the courts and OPG. A similar situation existed for re-certification by doctors, of certificates for medical treatments of adults lacking capacity

To respond to this, legislation was brought in to 'stop the clock' on expiry of the existing orders and certificates. Once the clock was started again, the remaining time left on the order or certificate would run. This allowed guardianships to remain in place and took the pressure of mental health officers, doctors, the courts and OPG. The provisions were extended and suspended for six months at the end of September 2020, as stakeholder feedback indicated they were no longer needed but may be should there be a second wave. Since then the proviisons have not been required, however consideration is being given at present to extending and suspending for another six months.

The Adults with Incapacity (Scotland) Act 2000 has been in need of reform for some time. Consultations have taken place in 2014,2016 and 2018 on various aspects of this. The Minister for Mental Health announced a wide ranging review of mental health and incapacity law (the Scott Review) in March 2019, at which point the decision was taken to pause AWI review pending the outcome of the Scott Review. The review is due to announce its findings in October 2022.

Consideration is being given to bringing forward legislation that cannot wait for legislation arising out of the Scott Review findings. This could include a type of short term placement order, to allow adults lacking capacity to be moved temporarily to more suitable accommodation should their circumstances require it.

1.2. Please provide a brief summary of any significant decisions concerning the interpretation and / or application of the 2000 Convention rendered by the competent authorities⁷ in your State, including in the context of the UNCRPD and other relevant instruments:

No summary required. Scotland have dealt with very few cases and all have been straighforward.

1.3. Please provide a brief summary of any other significant relevant developments in your State since it became a Contracting Party to the 2000 Convention:

The Minister of Mental Health announced an independent review of mental health and incapacity legislation in March 2019, chaired by John Scott QC. It's terms of reference can be found at https://www.mentalhealthlawreview.scot/ and include making recommendations that gives effect to the

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The term "competent authorities" is used in this Questionnaire to refer to the judicial or administrative authorities with decision-making responsibility under the 2000 Convention. Whilst in the majority of States Parties such "authorities" will be courts (*i.e.*, judicial), in some States Parties administrative authorities are responsible for decision making in Convention cases.

rights, will and preferences of the individual by ensuring that mental health, incapacity and adult support and protection legislation reflects people's social, economic and cultural rights including UNCRPD and ECHR requirements

The Scottish Government is also actively considering proposals to incorporate UN conventions, including UNCRPD into Scots Law.

II – General operation information

| 2.1. | Please indicate | the | number | of | cases | handled | by | your | Central | Authority | since | the | 2000 |
|------|-----------------|--------|------------|------|---------|---------|----|------|---------|-----------|-------|-----|------|
| | Convention can | ne int | o force fo | or y | our Sta | ite: | | | | | | | |

1

2.2 Please indicate, if possible, the names of the Contracting Parties involved in the cases referred to in question 2.1.:

France

2.3. Please indicate the month and year when the 2000 Convention came into force for your State:

Ratified 5 November 2003 Entry into force 1 January 2009

2.4. Please indicate the number of Full Time Equivalents (FTEs) employed at this moment by your Central Authority dedicated to the operation of the 2000 Convention:

None

III - Scope

| 3.1. | Have competent authorities ⁸ in your State experienced any challenges, or have questions arisen, in determining the scope of the Convention under Article 1 (meaning of "adults who, by |
|------|--|
| | reason of an impairment or insufficiency of their personal faculties, are not in a position to protect their interests"), Article 2 (meaning of "adult") or Article 3 (meaning of "measures")? |
| | No Yes, please describe: Article 1 (meaning of "adults who, by reason of an impairment or insufficiency) |

| | , , |
|--------------|--|
| of their per | sonal faculties, are not in a position to protect their interests"), please specify: |
| | Please insert text here |
| | Article 2 (meaning of "adult"), please specify: |
| | Please insert text here |
| | Article 3 (meaning of "measures"), please specify: |
| | Please insert text here |
| | Other, please specify: |
| | Please insert text here |

3.2. Please indicate whether the following measures are available in your State and describe their fundamental features including the conditions that must be met for an adult to be subject to such measures (tick more than one box if applicable):

-

⁸ Ibid.

| | \boxtimes | Guardianship, please describe: A guardianship is granted by a sheriff at court following application by a solicitor |
|---------------|--|--|
| | finan (Scot in rel Ment in the | aining reports from a mental health officer (or a person with sufficient knowledge if cial only) and 2 doctors. The principles and legislation of the Adults with Incapacity land) Act 2000 must apply. The adult must be incapacitated in terms of s.1(6) of the Act ation to the powers sought. 2 doctors must certify this, one certified under s.22 of the cal Health (Care and treatment) (Scotland) Act 2003. The applicant lists the powers sought e application and the sheriff considers whether to grant these, after a hearing of all ested parties has been set. Once granted by the sheriff, the order must be registered at office of the Public Guardian before it can be used. Curatorship, please describe: Please insert text here |
| | | Analogous institution, please name and describe: Please insert text here |
| 3.3. | Article health | list and describe measures available under the law of your State that are not listed in a but that would nevertheless fall under Article 3 (e.g., "guardian ad litem", "advanced / medical decisions", "Betreuer" (under German law), "un placement sous sauvegarde de " (under French law)): |
| | | vention order - analagous to a guardianship order with the same reports, however ned for 'one-off'powers.e |
| 3.4. | as lega Article availal under | the formation, annulment and dissolution of marriage or any similar relationship, as well al separation are excluded from the scope of the 2000 Convention in accordance with eq. (1)(b), please list and describe the possible powers of representation between partners ple in your State resulting from the effects of marriage, and similar relationships, that fall the scope of the 2000 Convention "insofar as they are aimed at the protection of the partner" (see paras 35 and 90 of the ER): |
| <u>IV – J</u> | urisdict | <u>ion</u> |
| 4.1. | in mak | competent authorities in your State experienced any challenges, or have questions arisen, king a determination whether to exercise jurisdiction based on the "habitual residence" adult under Article 5(1)? |
| | | No Yes, please specify: Please insert text here |
| 4.2. | in mal | competent authorities in your State experienced any challenges, or have questions arisen, king a determination whether to exercise jurisdiction in the case of a "change of the lal residence" of the adult under Article 5(2)? |
| | | No Yes, please specify: Please insert text here |

| 4.3. | in mak | ompetent authorities in your State experienced any challenges, or have questions arisen, ing a determination whether to exercise jurisdiction based on the "presence" of the adult Articles 6, 10 and 11? |
|------|---|--|
| | | No Yes, please specify: Please insert text here |
| 4.4. | in mak | ompetent authorities in your State experienced any challenges, or have questions arisen, ing a determination whether to exercise jurisdiction based on the "nationality" of the inder Article 7? |
| | | No Yes, please specify: Please insert text here |
| 4.5. | in mak | ompetent authorities in your State experienced any challenges, or have questions arisen, ing a determination whether to transfer jurisdiction based on the "interests" of the adult Article 8? |
| | | No Yes, please specify: Please insert text here |
| 4.6. | in mak | ompetent authorities in your State experienced any challenges, or have questions arisen, king a determination whether to exercise jurisdiction based on the "situation of the "ty" of the adult under Article 9? |
| | | No Yes, please specify: Please insert text here |
| 4.7. | | ompetent authorities in your State had experience with urgent measures of protection under Article 10 ? |
| | \textstyle | No Yes, please describe in which situations a competent authority in your jurisdiction has ed Article 10 : Please insert text here |
| 4.8. | | ompetent authorities in your State had experience with temporary and limited measures ection taken under Article 11? |
| | ⊠ □ applie | No Yes, please describe in which situations a competent authority in your jurisdiction has ed Article 11 : Please insert text here |
| 4.9. | | competent authorities in your State had experience using the Measures of Protection rning an Adult recommended form ⁹ for the purpose of Article 8 and the Information |

The Measures of Protection Concerning an Adult recommended form is available at: < https://assets.hcch.net/upload/form35b.pdf>.

| | | g to Measures of Protection concerning an Adult recommended form ¹⁰ for the purpose cles 7, 10 and 11 ? |
|--------------|-------------------------|--|
| | \boxtimes | No, please explain: |
| | | Yes |
| 4.10. | with A Contra | our State taken appropriate steps ($e.g.$, guidelines, procedures, protocols) in accordance rticle 30(a) to facilitate communications between competent authorities of different cting States concerning the coordination of jurisdictions issues arising under s 5-12 ? ¹¹ |
| | or atta | No Yes, please describe such guidelines, procedures or protocols and also provide a link ach them, preferably translated into English or French: Please insert text here |
| 4.11. | | ompetent authorities in your State experienced any challenges, or have questions arisen, ying the other articles in Chapter II ? |
| | | No Yes, please specify: Please insert text here |
| <u>V – A</u> | pplicabl | e law – General |
| 5.1. | in appl | ompetent authorities in your State experienced any challenges, or have questions arisen, ying or taking into consideration the law of another State with which the situation has a ntial connection in accordance with Article 13(2) ? |
| | | No Yes, please specify: Please insert text here |
| 5.2. | in apply | ompetent authorities in your State experienced any challenges, or have questions arisen, ying their own law, in accordance with Article 14 , to the conditions of implementation of measures, whether these are known or unknown to their own law? |
| | | No Yes, please specify: |
| 5.3. | | ompetent authorities in your State experienced any challenges, or have questions arisen, ying Article 17 ? |
| | | No Yes, please specify: |
| | | |

The Information relating to Measures of Protection concerning an Adult recommended form is available at: $\frac{\text{https://assets.hcch.net/upload/form35c.pdf}}{\text{https://assets.hcch.net/upload/form35c.pdf}}$.

See, e.g., Direct Judicial Communications - Emerging Guidance regarding the development of the International Hague Network of Judges and General Principles for Judicial Communications, including commonly accepted safeguards for Direct Judicial Communications in specific cases, within the context of the International Hague Network of Judges available at: < https://assets.hcch.net/docs/62d073ca-eda0-494e-af66-2ddd368b7379.pdf >.

5.4. Please list and describe specific rules of representation of the adult which your State would regard as part of the mandatory law under **Article 20**:

If an adult is incapable as defined under section 1(6) of the Adults with Incapacity (Scotland) Act 2000 then appropriate legal authority should be obtained in relation to the actions or decisions proposed to be taken. The general principles under section 1 AWI shall be given effect to.

5.5. Have competent authorities in your State experienced any challenges, or have questions arisen, in applying the other articles in **Chapter III**?

| \boxtimes | No |
|-------------|-------------------------|
| | Yes, please specify: |
| | Please insert text here |

VI – Applicable law – Powers of representation (including advance directives)

In the following questions (questions 6.1. to 6.49.) references to powers of representation, as described in Article 15 of the 2000 Convention, mean, and are limited to, "powers of representation granted by an adult, either under an agreement or by a unilateral act, to be exercised when such adult is not in a position to protect his or her interest". Such powers of representation are also known as "mandate in case of incapacity", "self-determined guardianship", "voluntary guardianship", "living will", "mandat de protection future", "mandat extrajudiciaire", etc. 12 In a great number of States, such powers of representation are private agreements. They may be witnessed, certified, notarised, or not be subject to any formal requirements. Several States provide for various forms of powers of representation. Any such powers of representation fall under the scope of the 2000 Convention. The availability of powers of representation under the law of Contracting Parties to the 2000 Convention will facilitate implementation of their treaty obligations. However, the absence of such powers should not stop States from becoming Contracting Parties.

Thus, powers of representation may or may not be *available* under the law of your State. Where they are, they may be available *in one or more forms* (see question 6.19. below). To achieve the desired effect, the use of any of these forms of powers of representation may be *optional or mandatory*. Furthermore, powers of representation may or may not be subject to *formal requirements* (such as being notarised, certified, or witnessed) to achieve validity and operability. In addressing the existence, extent, modification and extinction of such powers of representation for the purpose of Article 15, Section VI of this Questionnaire seeks to accommodate *all* these various possibilities with a view to obtaining a better understanding of powers of representation across HCCH Members. If the actual position in your State does not fit easily within the alternatives offered, please use "other" at the end of any relevant question to explain the position of your State. Responses to Section VI will be particularly relevant for drawing up a draft Country Profile and draft Practical Handbook on the operation of the 2000 Convention.

Availability of such powers of representation (as described above in the introduction to Section VI for the purposes of questions 6.1. to 6.49.) under your domestic law and related safeguards

| 6.1. | Does the law of your State provide for such powers of representation? | | | | |
|------|---|--|--|--|--|
| | | Yes No, if possible please explain or provide further background: | | | |

Such "powers of representation" under Art. 15 of the 2000 Convention are not to be confused with "general powers of attorney" or "ordinary powers of attorney" under civil or commercial law.

Please insert text here

6.2. If yes to question 6.1., the following questions are designed to address the various possibilities outlined in the second paragraph of the Introduction to this Section VI (above) in relation to formal requirements that may be applicable in your State and their respective functions (in relation to each question, tick more than one box if applicable):

Power of Attorney registered under the Adults with Incapacity (Scotland) Act 2000 fulfills this function

| | tilis iu | inction |
|--------------------|-------------|--|
| 6. 2.1 . Is | it ma | ndatory in your State to have such powers of representation notarised? |
| | | a. Powers of representation cannot be notarised |
| | \boxtimes | b. Yes, it is mandatory |
| | | b.1. Always mandatory |
| | | b.2. Only mandatory for specific purposes, please specify: |
| | \Box | Please insert text here |
| | ط ۱۵۰۵ | c. No, it is not mandatory, but it is an available option |
| , | u. Pied | ase tick the relevant functions of this formal requirement d.1. To establish the capacity of the grantor at the time of granting the powers |
| | | d.1. To establish the capacity of the grantor at the time of granting the powers of representation |
| | | d.2. To verify that the person signing the powers of representation is the |
| | | grantor |
| | | d.3. To witness the signature of the powers of representation by the grantor |
| | | d.4. To verify that the powers of representation are in conformity with the law |
| | | (including the conditions to be met by the designated representative) |
| | | d.5. To verify that the powers of representation are understood by the grantor |
| | | (Art. 12(4) UNCRPD) |
| | | d.6. To verify that the powers of representation correspond to the wishes of |
| | | the grantor (Art. 12(4) UNCRPD) |
| | | d.7. To confirm the absence of undue influence (Art. 12(4) UNCRPD) |
| | | d.8. Other, please specify: |
| | | Please insert text here |
| 6.2.2. Is | it ma | ndatory in your State to have such powers of representation certified? |
| | | , , |
| | | a. Powers of representation cannot be certified |
| | \boxtimes | b. Yes, it is mandatory |
| | | b.1. Always mandatory |
| | | b.2. Only mandatory for specific purposes, please specify: |
| | | Please insert text here |
| | | c. No, it is not mandatory, but it is an available option |
| | d. Plea | ase tick the relevant functions of this formal requirement |
| | | d.1. To establish the capacity of the grantor at the time of granting the powers |
| | | of representation |
| | | d.2. To verify that the person signing / who signed the powers of |
| | | representation is / was the grantor |
| | | d.3. To witness the signature of the powers of representation by the grantor |
| | | d.4. To verify that the powers of representation are in conformity with the law |
| | | (including the conditions to be met by the designated representative) |
| | | d.5. To verify that the powers of representation are understood by the grantor (Art. 12(4) UNCRPD) |

| | d.6. To verify that the powers of representation correspond to the value grantor (Art. 12(4) UNCRPD) d.7. To confirm the absence of undue influence (Art. 12(4) UNCRPD) d.8. Other, please specify: Please insert text here | |
|-------------------|---|---|
| 6.2.3. Is it ma | datory in your State to have such powers of representation witnessed? | |
| d. Ple | b. Powers of representation cannot be witnessed b. Yes, it is mandatory b.1. Always mandatory b.2. Only mandatory for specific purposes, please specify: Please insert text here b. No, it is not mandatory, but it is an available option e tick the relevant functions of this formal requirement d.1. To establish the capacity of the grantor at the time of granting of representation d.2. To verify that the person signing the powers of representation grantor d.3. To witness the signature of the powers of representation by the d.4. To verify that the powers of representation are in conformity we (including the conditions to be met by the designated representation d.5. To verify that the powers of representation are understood by (Art. 12(4) UNCRPD) d.6. To verify that the powers of representation correspond to the weather than the powers of the powers of the powers of the grantor (Art. 12(4) UNCRPD) d.7. To confirm the absence of undue influence (Art. 12(4) UNCRPD) d.8. Other, please specify: Please insert text here | is the e grantor with the law we) the grantor wishes of |
| | datory in your State to have such powers of representation subject to an | other formal |
| requirement Pleas | specify the name of the formal requirement: 1. Powers of representation are not subject to another formal requiremen 2. Yes, it is mandatory 3. b.1. Always mandatory | nt |
| d. Ple | b.2. Only mandatory for specific purposes, please specify: Please insert text here No, it is not mandatory, but it is an available option e tick the relevant functions of this formal requirement d.1. To establish the capacity of the grantor at the time of granting of representation d.2. To verify that the person signing the powers of representation by the d.3. To witness the signature of the powers of representation by the d.4. To verify that the powers of representation are in conformity we (including the conditions to be met by the designated representation d.5. To verify that the powers of representation are understood by (Art. 12(4) UNCRPD) d.6. To verify that the powers of representation correspond to the way the grantor (Art. 12(4) UNCRPD) d.7. To confirm the absence of undue influence (Art. 12(4) UNCRPD) d.8. Other, please specify: | is the e grantor with the law we) the grantor wishes of |

Powers of attorney are required to be registered with the Office of the Public Guardian (Scotland) before they can be used. The OPG will provide a certificate that the attorney can show to relevant organisations to demonstrate their legal authority

| 6.3. | If yes to question 6.1., are such powers of representation in the form of a private agreement without any formal requirements (<i>e.g.</i> , notarised, certified or witnessed) available under the law of your State? | | |
|------|---|---|--|
| | of the | No, please explain: Powers of attorney to be used after an adult is incapacitated must comply with Part 2 e Adults with Incapacity (Scotland) Act 2000 Yes | |
| 6.4. | | ssues arisen in your State with regard to the existence of such powers of representation ned by the law of another State? | |
| | | No Yes, please explain: Please insert text here | |
| 6.5. | | o question 6.1., does the law of your State prohibit such powers of representation, or n provisions rendering them ineffective? | |
| | | No Yes, please explain: | |
| 6.6. | | o question 6.1., does your State intend to legislate in the near future to provide for such sof representation? | |
| | | No, please explain: Please insert text here Yes | |
| 6.7. | - | to question 6.6., please indicate which form of powers of representation your State is to provide for (tick more than one box if applicable): | |
| | | a. notarised powers of representation b. certified powers of representation c. witnessed powers of representation d. private agreements without any formal requirements e. Other form, please specify: Please insert text here | |

Designation of a representative under such powers of representation

6.8. Are there conditions / limitations (e.g., to provide safeguards with regard to conflicts of interests) as to who can be designated as a representative under such powers of representation

| | governed by the law of your State (e.g., limitation to natural persons, or a further limitation to persons with specified relationships to the grantor)? | |
|---------------------------------------|--|--|
| | | No Yes, please explain: A practicing solicitor, doctor or legal advocate who has granted a certificate in on to a continuing or welfare attorney under Part 2 of the AWI Act cannot be the n to whom the power of attorney has been granted. |
| 6.9. | to a fo | our response to question 6.8. differ whether such powers of representation are subject ormal requirement (<i>e.g.</i> , notarised, certified, witnessed) or not subject to any formal ements? |
| | forma | No Yes, please explain: The power of attorney is subject to formal requirment. There is no option for non- requirements |
| 6.10. | | ssues arisen in your State with regard to the capacity of the person designated as a entative under such powers or representation governed by the law of another State? |
| | | No Yes, please explain: Please insert text here |
| Super | vision / | control mechanisms of such powers of representation |
| 6.11. | Are such powers of representation governed by the law of your State subject to some supervision / control mechanisms / reporting (e.g., a person or authority designated (on a mandatory or voluntary basis) under such powers or by operation of law to which the person designated as the representative is to report to)? | |
| | | No, please explain: Please insert text here Yes, please explain: |
| | Public | There are no formal reporting requirements for attorneys, however the office of the Guardian does have investigatory powers to look into the property and financial |
| | | s of an adult lacking capacity if they consider there is risk and can therefore require the ney to provide documetns to them. The local authority and Mental Welfare mission have similar functions in relation to welfare attorneys |
| 6.12. | Does yo | ney to provide documetns to them. The local authority and Mental Welfare |
| 6.12. | Does yo | ney to provide documetns to them. The local authority and Mental Welfare nission have similar functions in relation to welfare attorneys our response to question 6.11. differ whether such powers of representation are subject formal requirement (e.g., notarised, certified, witnessed) or not subject to any formal |
| 6.12.6.13. | Does you to a for required. Have is | ney to provide documetns to them. The local authority and Mental Welfare nission have similar functions in relation to welfare attorneys our response to question 6.11. differ whether such powers of representation are subject armal requirement (e.g., notarised, certified, witnessed) or not subject to any formal ements? No Yes, please explain: |

| | | Yes, please explain: Please insert text here |
|-------|---|---|
| Exten | t of sucl | n powers of representation |
| 6.14. | | h powers of representation (and wishes expressed therein) governed by the law of your gally binding on the designated representative? |
| | | Yes No, please explain: Please insert text here |
| 6.15. | be con exclude medica | re any limitations under the law of your State on such powers of representation that can ferred upon a designated representative <i>e.g.</i> , are certain acts or categories of acts ed such as disposal of specified categories of assets, gifts, personal and family matters, I related decisions (generally, or particular categories such as those involving lisation), etc.? |
| | (b)consconfers (c)mak (d)give of the l | Yes, please explain: s.16(6) AWI states A welfare attorney may not— e the granter in a hospital for the treatment of mental disorder against his will; F6 sent on behalf of the granter to any form of treatment [F7in relation to which the authority red by section 47(2) does not apply by virtue of regulations made under section 48(2)][F8; te, on behalf of the granter, a request under section 4(1) of the Anatomy Act 1984 (c. 14); te, on behalf of the granter, an authorisation under, or by virtue of, section 6(1), 17, 29(1) or 42(1) Human Tissue(Scotland) Act 2006 (asp 4); or te, on behalf of the granter, a nomination under section 30(1) of that Act] |
| 6.16. | | ere particular powers and duties automatically given to such representatives ($e.g.$, and duties in relation to the tax affairs of the grantor)? |
| | | No Yes, please explain: Please insert text here |
| 6.17. | | tain powers subject to a judicial or administrative decision in order for them to be either ed or exercised? |
| | | No Yes, please specify the powers subject to such decision: Please insert text here |
| 6.18. | | ere particular matters which, in accordance with the law of your State, a designated entative cannot be authorised to do or decide on behalf of the grantor? |
| | | No Yes, in which case please specify the excluded powers: see 6.15 |
| | | |

6.19. Which of the following forms of document are available in your State to confer powers of representation (tick more than one if applicable)?

| | \boxtimes | a. A document simply conferring on the designated representative all powers that can |
|-------|-------------------|---|
| | | by law be conferred. b. A document containing "tick-box" lists of powers. |
| | | c. A document setting out, in the grantor's choice of words, all the powers that the grantor wishes to confer. |
| | | d. Separate documents for (a) health and welfare powers and (b) property and |
| | \boxtimes | financial powers. e. Separate listing in the same document of (a) health and welfare powers and (b) |
| | | property and financial powers. f. Other possibilities or combinations (please explain): Please insert text here |
| 6.20. | Can ad your St | vance health directives be included in powers of representation governed by the law of rate? |
| | | Yes No, please explain: |
| 6.21. | Can ad your St | vance medical directives be included in powers of representation governed by the law of cate? |
| | | Yes No, please explain: |
| 6.22. | | ch advance health / medical directives governed by the law of your State binding on al professionals? |
| | | Yes No, please explain: Please insert text here |
| 6.23. | subject | ir responses to questions 6.146.22. differ whether such powers of representation are to a formal requirement (<i>e.g.</i> , notarised, certified, witnessed) or not subject to any requirements? |
| | | No Yes, please explain: Please insert text here |
| 6.24. | | ssues arisen in your State with regard to the scope of such powers of representation ed by the law of another State? |
| | | No Yes, please explain: Please insert text here |
| | | |

Registration / filing of such powers of representation

6.25. Please indicate whether your State provides for the registration of such powers of representation and / or their filing with a competent authority:

Yes, registration with a public registry

| | | Yes, registration with a private registry (e.g., national associations of notaries) Yes, filing with a competent authority No, please explain: Please insert text here |
|-------|-------------|---|
| 6.26. | | nust such powers of representation governed by the law of your State be registered or ith a competent authority before they come into effect? |
| | | Yes, it is an option, please explain the effect of the registration and / or filing: Please insert text here |
| | | Yes, it is an obligation, please explain the effect of the registration and / or filing: Power of attorney cannot be operated until registered at the Office of the Public |
| | Guard | |
| | | No, please explain: Please insert text here |
| 6.27. | | ch powers of representation governed by the law of your State be registered or filed with petent authority after they come into effect? |
| | | Yes, it is an option, please explain the effect of the registration and / or filing: Please insert text here |
| | | Yes, it is an obligation, please explain the effect of the registration and / or filing: Please insert text here |
| | | No, please explain: |
| 6.28. | | ch powers of representation governed by the law of another State be registered or filed competent authority after they come into effect? |
| | | Yes, it is an option, please explain the effect of the registration and / or filing: Please insert text here |
| | | Yes, it is an obligation, please explain the effect of the registration and / or filing: Please insert text here |
| | | No, please explain: There is no provision for registering powers of attorney from another state in |
| | Scotla | , |
| 6.29. | are sub | ir responses to questions 6.25. and 6.28. differ whether such powers of representation bject to a formal requirement (<i>e.g.</i> , notarised, certified, witnessed) or not subject to any requirements? |
| | \boxtimes | No |
| | | Yes, please explain: |
| | | Please insert text here |
| Comi | ng into | effect of such powers of representation |
| 6.30. | | ch powers of representation governed by the law of your State come into effect at a nt determined by the grantor (e.g., when certain conditions are met)? |
| | | No, please explain: |
| | \square | Please insert text here Vos. please explain: |
| | | |

A springing clause can be added to the document to this effect, presuming all other statutory requirements have been met.

| 6.31. | represe | absence of an explicit coming into effect provision by the grantor, can such powers of entation governed by the law of your State come into effect on the sole decision of the (s) to whom they are conferred? |
|-------|----------|---|
| | requir | No, please any safeguards: Please insert text here Yes, please explain: Continuing (financial) powers of attorney can come into effect before the granter is acitated. Welfare powers of attorney require incapacity and the AWI Act merely ses the granter to have considered how their incapacity shall be determined. This could the attorney deciding it is the case. |
| 6.32. | represe | absence of an explicit coming into effect provision by the grantor, can such powers of entation governed by the law of your State come into effect upon the decision of a tent authority? |
| | condit | No, please any safeguards: Once registered it requires the granter/attorney in the case of continuing attorneys or capacity of the granter in the case of welfare attorneys, unless the documents specify cions (OPG does not have to be notified in either case of the beginning of operation of ower of attorney) Yes, please explain: Please insert text here |
| 6.33. | | explain how the coming into effect of such powers of representation governed by the your State affect the legal capacity of the grantor: |
| | _ | ranter retains legal capacity as per UNCRPD. the attorney is required to adhere to the ples of the AWI Act |
| 6.34. | subject | r responses to questions $6.306.33$. differ whether such powers of representation are to a formal requirement ($e.g.$, notarised, certified, witnessed) or not subject to any requirements? |
| | | No Yes, please explain: Please insert text here |
| 6.35. | | sues arisen in your State with regard to the coming into effect of such powers of entation governed by the law of another State? |
| | | No Yes, please explain: Please insert text here |
| 6.36. | effect o | share other information (e.g., concerns, good practices) with regard to the coming into of such powers of representation governed by the law of your State (e.g., the explicit on (permitted by law) in the powers of representation that they come into effect intelly upon signature): |

It would be useful to have the requirement that the granter specifies how their incapacity will be determined

Confirmation of such powers of representation

requirements?

| 6.37. | Can a c | ompetent authority in your State confirm powers of representation? |
|-------|-----------|--|
| | person | No Yes, please indicate which authority can confirm and explain the effect of confirming or not confirming such powers of representation OPG maintain a public register which is searchable and will confirm whetehr or not a has a power of attorney. |
| 6.38. | - | question 6.37., can confirmation take place whether such powers of representation are ed by the law of your State or the law of another State? |
| | on the | No, please explain: powers of attorney from other states are not registerable and therefore will not show public register Yes, please indicate which authority can confirm and explain the effect of confirming or not confirming such powers of representation: Please insert text here |
| 6.39. | - | question 6.37., can confirmation take place whether the powers of representation have ato effect or not? |
| | | Yes No, please explain: |
| 6.40. | subject | r responses to questions 6.376.39. differ whether such powers of representation are to a formal requirement (<i>e.g.</i> , notarised, certified, witnessed) or not subject to any requirements? |
| | | No Yes, please explain: Please insert text here |
| Modif | fications | s of such powers of representation |
| 6.41. | - | ssible for the grantor or a competent authority to modify powers of representation ed by the law of your State after they have come into effect? |
| | by a so | No Yes, please explain who can modify such powers of representation, for what purpose, in what form, and any related safeguards: Granter can modify - requires similar certificate as that used when granting, certified plicitor, doctor or legal advocate. |
| 6.42. | - | our response to question 6.41. differ whether such powers of representation are subject rmal requirement (e.g., notarised, certified, witnessed) or not subject to any formal |

| | | No Yes, please explain: Please insert text here |
|--------|-----------------|--|
| 6.43. | | issues arisen in your State with regard to the modification of such powers of entation governed by the law of another State? |
| | | No Yes, please explain: Please insert text here |
| Extino | ction of | such powers of representation |
| 6.44. | | explain the conditions for the extinction of powers of representation governed by the your State: |
| | bankr resigr | er can revoke appointment, sheriff can revoke appointment, appointment ends on the ruptcy of the attorney or granter for a continuing power of attorney, attorney can a separation, divorce or nullity of marriage and civil partnership, appointment of the lain with powers relating to those in the power of attorney |
| 6.45. | to a fo | our response to question 6.44. differ whether such powers of representation are subject ormal requirement ($e.g.$, notarised, certified, witnessed) or not subject to any formal ements? |
| | | No Yes, please explain: Please insert text here |
| 6.46. | | ssues arisen in your State with regard to the extinction of such powers of representation ed by the law of another State? |
| | | No Yes, please explain: Please insert text here |
| Other | inform | nation concerning such powers of representation |
| 6.47. | | list and describe <i>ex lege</i> powers of representation resulting from a unilateral act or an nent that arise by reference to a relationship or other status in connection with the adult |

(including but not limited to those arising from a contract of marriage, and similar relationships):

Advance statements under s.275 of the Mental Health (Care and Treatment) (Scotland) Act 2003.

6.48. Please provide any additional information with regard to such powers of representation (as described above in the introduction to Section VI) governed by the law of your State:

Advance statements: making and withdrawal

(1)An "advance statement" is a statement complying with subsection (2) below and specifying— (a)the ways the person making it wishes to be treated for mental disorder; (b)the ways the person wishes not to be so treated,

in the event of the person's becoming mentally disordered and the person's ability to make decisions about the matters referred to in paragraphs (a) and (b) above being, because of that, significantly impaired.

(2)An advance statement complies with this subsection if—

(a)at the time of making it, the person has the capacity of properly intending the wishes specified in it; (b)it is in writing;

(c)it is subscribed by the person making it;

(d)that person's subscription of it is witnessed by a person (the "witness") who is within the class of persons prescribed by regulations for the purposes of this paragraph and who signs the statement as a witness to that subscription; and

(e)the witness certifies in writing on the document which comprises the statement that, in the witness's opinion, the person making the statement has the capacity referred to in paragraph (a) above.

(3)An advance statement may be withdrawn by the person who made it by a withdrawal complying with this subsection; and a withdrawal so complies if—

(a)at the time of making it the person has the capacity properly to intend to withdraw the statement; and

(b)it is made by means of a document which, were it an advance statement, would comply with paragraphs (b) to (e) of subsection (2) above.

6.49. Please provide any additional information with regard to issues that may have arisen in your State with regard to such powers of representation (as described above in the introduction to Section VI) governed by the law of another State:

There is no formal recognition process in statute for such powers of representation as there are for the equivalent of guardianships. This can lead to variation in practice of recognition of powers of attorney, both in Scotland and other countries (England and Wales being the most relevant here).

VII - Recognition and enforcement

Please insert text here

| 7.1. | Have competent authorities in your State experienced any challenges, or have questions arisen, in applying Article 22 from the perspective of the requested State? | | |
|------|---|--|--|
| | No Yes, please specify: Please insert text here | | |
| 7.2. | Have judicial or administrative procedures, guidelines or protocols been adopted in your State to facilitate the application of Article 23 ? | | |
| | No Yes, please describe and also provide a link or attach a copy, preferably translated into English or French: https://www.scotcourts.gov.uk/docs/default-source/rules-and-practice/rules-of-court/sheriff-court/summary-applications-statutory-applications-and-appeals/scrsheriff-court-summary-applications-and-appeals/chapter-3-part-24.doc?sfvrsn=10 | | |
| 7.3. | Have competent authorities in your State experienced any challenges, or have questions arisen in applying Article 23 (<i>e.g.</i> , in terms of procedure, formalities, time frames, etc.)? | | |
| | No Yes, please specify: | | |

7.4. Are you aware of any challenges, or have questions arisen, in applying **Article 25** in your State?

| | NoYes, please specify:Please insert text here |
|------|---|
| 7.5. | Please describe the "simple and rapid procedure" (see Art. 25(2)) in place in your State for declaring enforceable or registering for the purpose of enforcement measures of protection taken in another State Party and enforceable there, in particular: a) Which authority declares enforceable or registers a measure of protection taken in another State Party? |
| | Sheriff Court |
| | b) What time frames are applied to ensure that the procedure is rapid? |
| | Timescales will vary according to requirements. However the Court will usually treat as a priority and the Sheriff clerk shall within 7 days after the date of an order registering an international measure, provide the Public Guardian with a copy of that order and a copy of the international measure, and of any translation |
| | c) Is legal representation required? |
| | NoYes, please describe:Please insert text here |
| 7.6. | Are you aware of any challenges, or have questions arisen, in applying Article 27 in your State? |
| | NoYes, please specify: |
| 7.7. | Under Article 27 , are measures concerning the person of adults who, by reason of an impairment or insufficiency of their personal faculties, are not in a position to protect their interests subject to <i>exequatur</i> in accordance with the law of your State? |
| | No Yes, please list and describe such measures: Courts would determine whether an order is considered valid in Scotland Court Rules: https://www.scotcourts.gov.uk/docs/default-source/rules-and-practice/rules-of-court/sheriff-court/summary-applications-statutory-applications-and-appeals/scrsheriff-court-summary-applications-statutory-applications-and-appeals/chapter-3-part-24.doc?sfvrsn=10 |
| | Adults with Incapacity (Scotland) Act 2000: Schedule 3 |
| 7.8. | Please indicate how often measures referred to in question 7.7. are subject to <i>exequatur</i> under the law of your State in accordance with Article 27 : |
| | Never Rarely Sometimes Very often Always |

| 7.9. | impairr | Article 27 , are measures concerning the property of adults who, by reason of an ment or insufficiency of their personal faculties, are not in a position to protect their ts subject to <i>exequatur</i> in accordance with the law of your State? |
|---------------|--|---|
| | | No Yes, please list and describe such measures: See 7.7 |
| 7.10. | | indicate how often measures referred to in question 7.9. are subject to <i>exequatur</i> under of your State in accordance with Article 27 : |
| | | Never Rarely Sometimes Very often Always |
| 7.11. | | ompetent authorities in your State experienced any challenges, or have questions arisen, ying the other articles in Chapter IV ? |
| | | No Yes, please specify: Please insert text here |
| <u>VIII –</u> | Co-opei | <u>ration</u> |
| 8.1. | . With the understanding that services provided by Central Authorities under the 2000 Convention may vary, does your Central Authority provide assistance, either directly or through other authorities in your State, to an individual habitually resident in your State who made a request for assistance in connection with a matter falling under the scope of the Convention in a requested State? If so, please indicate the nature of the assistance provided. | |
| | | a. None b. Assistance in obtaining information on the operation of the 2000 Convention c. Assistance in obtaining information on the relevant laws and procedures and services available in the requested State d. Establishment of contact with the Central Authority and / or the competent authorities in the requested State to find out the kind of assistance such authorities could provide e. Transmission of a request to the Central Authority or to the competent authorities in the requested State f. Assistance in discovering the whereabouts of an adult g. Assistance in initiating judicial or administrative proceedings h. Assistance in providing or facilitating the provision of legal aid and advice i. Assistance in obtaining private legal counsel or mediation services, where needed in the requested State j. Ensuring separate legal representation for the adult in any proceedings k. Ensuring support for exercise of capacity in terms of Article 12(3) of the UNCRPD l. Referral to other governmental and / or non-governmental organisations for assistance |
| | | m. Provision of regular updates on the progress of the application |

Please insert text here

| 8.2. | With the understanding that services provided by Central Authorities under the 2000 Convention may vary, does your Central Authority provide assistance, either directly of through other authorities in your State, to authorities from a requesting State on behalf of an individual residing abroad who made a request for assistance in connection with a matter falling under the scope of the Convention? If so, please indicate the nature of the assistance provided. |
|------|---|
| | a. None b. Assistance in providing information on the operation of the 2000 Convention c. Assistance in providing information on the relevant laws and procedures and services available in your State d. Establishment of contact with the competent authorities in your State to find out the kind of assistance such authorities could provide e. Transmission of a request to the competent authorities in your State f. Assistance in discovering the whereabouts of an adult in your State g. Assistance in initiating judicial or administrative proceedings in your State h. Assistance in providing or facilitating the provision of legal aid and advice in your State i. Assistance in obtaining private legal counsel or mediation services, where needed in your State j. Ensuring separate legal representation for the adult in any proceedings k. Ensuring support for exercise of capacity in terms of Article 12(3) of the UNCRPD l. Referral to other governmental and / or non-governmental organisations in your State for assistance m. Provision of regular updates on the progress of the application n. Other, please specify: Please insert text here |
| 8.3. | Are you aware of any challenges, or have questions arisen, in applying Article 29 in your State (e.g., in relation to the timeliness of responses to requests)? |
| | No Yes, please specify: Please insert text here |
| 8.4. | With a view to facilitate the task of Central Authorities under Article 29(2) , please describe the |

8.4. With a view to facilitate the task of Central Authorities under **Article 29(2)**, please describe the type of information that would be useful to include in a Country Profile published on the HCCH website (*e.g.*, information with respect to the availability of certain measures under internal law (*e.g.*, in relation to **Art. 3(e)**), or the procedures applied under, *e.g.*, **Article 22, 23, 25, 30, 31 or 33,** or information on Central Authority services provided (see questions 8.1. and 8.2. above):

Due to the very small number of cases, we are not in a position to advise on the type of information that would be useful to include in a Country Profile at this time

8.5. How does your Central Authority (either directly or through public authorities or other bodies) take appropriate steps under **Article 31** to facilitate, by mediation, conciliation or similar means, agreed solutions for the protection of the person or property of the adult in situations to which the 2000 Convention applies? Please explain:

This has never been necessary due to small number of cases.

| 8.6. | Have authorities in your State experienced any challenges, or have questions arisen, in providing or obtaining information under Article 32(1) or 34 ? | | | |
|-------|---|---|--|--|
| | | No Yes, please describe: Please insert text here | | |
| 8.7. | | uthorities in your State experienced placements in accordance with Article 33 either as esting or a requested State? | | |
| | | No Yes | | |
| 8.8. | Have authorities in your State experienced any challenges, or have questions arisen, in applying Article 33 (<i>e.g.</i> , has your State been requested to accept an adult under a certain type of placement or institutional care that is not available under your internal law, or was insufficient information provided to you as the requested State)? | | | |
| | | No Yes, please describe: Please insert text here | | |
| 8.9. | | list and describe the procedures and conditions for the placement of an adult in your accordance with Article 33 : | | |
| | 2000. The So | plication is made for an order under schedule 3 of the Adults with Incapacity (Scotland) Act cottish Central Authority are provided with a report on the adult and the reasons for roposed placement in Scotland. | | |
| 8.10. | As a requested State, please describe the information you would expect to receive from a requesting State with regard to the placement of an adult in your State in accordance with Article 33 : | | | |
| | | rder made under Schedule 3 of the Adults with Incapacity (Scotland) Act 2000. A report on the and the reasons for the proopsed placement in Scotland | | |
| 8.11. | - | our State impose charges, as provided under Article 36(1) , for the provision of services Chapter V (Co-operation)? | | |
| | | No Yes, for the following types of services (e.g., translation, legal assistance): Please insert text here | | |
| 8.12. | | equesting State, have authorities in your State experienced any challenges, or have ons arisen, with regard to charges provided under Article 36(1) ? | | |
| | | No Yes, please explain: Please insert text here | | |
| | | | | |

8.13. Are you aware of any challenges, or have questions arisen, in applying any other provisions under **Chapter V** in your State?

| | | No Yes, please describe: Please insert text here | | |
|---------------|--|--|--|--|
| 8.14. | Have judges in your State used direct judicial communications in cases falling under the 2000 Convention (where applicable, please consult your Member of the International Hague Network of Judges ¹³)? | | | |
| | | No Yes, please specify in relation to which specific matters (e.g., transfer of jurisdiction (Art. 8), placement of a child (Art. 33)): Please insert text here | | |
| <u>IX – G</u> | ieneral | <u>provisions</u> | | |
| 9.1. | under entrust | ur State experienced any challenges, or have questions arisen, in relation to requests Article 38 for the delivery of a certificate indicating the capacity in which a personced with protection of the adult's person or property is entitled to act and the powers red upon him or her? | | |
| | | No Yes, please describe: Please insert text here | | |
| 9.2. | | authority(ies) designated by your State in accordance with Article 38(3) is competent to p the Article 38(1) certificate? Please specify: | | |
| | Sherif | f Court | | |
| 9.3. | - | ble, please indicate the number of certificates that have been delivered by authorities in ate since the 2000 Convention came into force for your State: | | |
| | None | | | |
| 9.4. | - | our State experienced any challenges, or have questions arisen, in relation to the nentation and / or operation of Articles 39 and 40 ? | | |
| | | No Yes, please describe: Please insert text here | | |
| 9.5. | | authority(ies) has your State designated in accordance with Article 42 to which requests Article 8 are to be addressed? Please specify: | | |
| | Sherif | f Court | | |
| 9.6. | | authority(ies) has your State designated in accordance with Article 42 to which requests Article 33 are to be addressed? Please specify: | | |
| | Sherif | f Court | | |

 $^{^{13} \}qquad \text{The List of Members of the International Hague Network of Judges is available at:} \\ < \underline{\text{https://assets.hcch.net/docs/665b2d56-6236-4125-9352-c22bb65bc375.pdf}} >.$

| 9.7. | Please list international instruments to which your State is a Party in accordance with Article 49 which contain provisions on matters governed by the 2000 Convention: | | |
|--------------|--|--|--|
| 9.8. | Have competent authorities in your State experienced any challenges, or have questions arisen, in applying the other Articles in Chapter VI ? | | |
| | No Yes, please specify: Please insert text here | | |
| <u>X – M</u> | <u>iscellaneous</u> | | |
| 10.1. | Is there any other comment that your State wishes to make relating to the practical operation of the 2000 Convention? If so, please specify: | | |
| | We have very little experience of the Convention being used which is reflected in the answers provided | | |
| 10.2. | Are there any particular issues that your State would like the Special Commission meeting to discuss in relation to the 2000 Convention? Please specify and list in order of priority: | | |
| | No | | |
| 10.3. | Is your State of the view that having joined the 2000 Convention will assist with the implementation of its obligations under the UNCRPD <i>e.g.</i> , Articles 12 and 16 of the UNCRPD? | | |
| | Yes No, please explain: Greater recognition mechanisms for documents that can give rise to the adult's will and preference will assist those will and preferences to be given effect to when dealing with different organisations and individuals. | | |